

# EMBEDDING PLANAR COMPACTA IN PLANAR CONTINUA WITH APPLICATION: HOMOTOPIC MAPS BETWEEN PLANAR PEANO CONTINUA ARE CHARACTERIZED BY THE FUNDAMENTAL GROUP HOMOMORPHISM.

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**ABSTRACT.** The  $CAT(0)$  geometry of a planar PL disk (determined by internal paths of minimal length) is employed to prove every planar compactum with connected complement can be embedded in a cellular planar continuum by attaching a null sequence of arcs with disjoint interiors.

This leads to a proof that two based maps from a planar Peano continuum to a planar set are homotopic iff they induce the same homomorphism between fundamental groups.

## 1. INTRODUCTION

The capacity to recognize homotopic maps plays a central role in classifying planar continua up to homotopy equivalence.

The second of two main results (Theorems 9 and 10) establishes if  $X \subset R^2$  is a locally path connected (i.e. Peano) continuum, and  $Y \subset R^2$  is arbitrary, and if  $f, g : (X, p) \rightarrow (Y, q)$  are based maps, then  $f$  is homotopic to  $g$  if and only if the induced maps  $f_*, g_* : \pi_1(X, p) \rightarrow \pi_1(Y, q)$  satisfy  $f_* = g_*$ .

The above statement is generally false for planar continua, due for example to the existence of cellular noncontractible planar continua. Such examples also confirm the potential failure of the conclusion of Whitehead's Theorem [10] [11] (maps between  $CW$  complexes which induce isomorphisms on homotopy groups are homotopy equivalences)

For planar Peano continua  $X$  and  $Y$ , it is an open question whether  $f : X \rightarrow Y$  is a homotopy equivalence precisely if  $f_*$  induces an isomorphism between fundamental groups.

In the simplest nontrivial case, (the Hawaiian earring  $HE$ , the union of a null sequence of circles joined at a common point), the group  $\pi_1(HE)$  is uncountable and not free [5], it naturally injects into the inverse limit of finite free groups, and its elements can be understood as "infinite words" in generators  $x_1, x_2, \dots$  such that each letter appears finitely many times [9]. Remarkably, Eda [6] proved all homomorphisms of the Hawaiian earring group are (up to a change of base point isomorphism) induced by maps, and hence the self homotopy equivalences  $f : HE \rightarrow HE$  are precisely the maps such that  $f$  induces an isomorphism of  $\pi_1(HE)$ .

More generally, positive answers are emerging in case  $X$  is 1 dimensional [7] or homotopy equivalent to a 1 dimensional planar Peano continuum [4].

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*Date:* March 19 2009.

*1991 Mathematics Subject Classification.* 55Q52.

Most generally (e.g. [12]) , Theorem 10 of the paper at hand immediately yields a partial answer: If  $X, Y \subset R^2$  are Peano continua, then a map  $f : X \rightarrow Y$  is a homotopy equivalence if  $f_*$  is an isomorphism and  $f_*^{-1}$  is induced by a map (Corollary 2).

To prove Theorem 10, we must confront the fact that if  $A \subset \text{int}(D^2)$  is an **arbitrary** compactum in the closed unit disk  $D^2$  such that  $\dim(A) \leq 1$ , and if  $\{U_n\} \subset \text{int}(D^2) \setminus A$  is a null sequence of disjoint round open disks converging (limit supremum) to  $A$ , then  $X = D^2 \setminus \{U_1 \cup U_2 \dots\}$  is a Peano continuum such that  $X \setminus \text{int}(X) = Z = A \cup \partial U_1 \cup \partial U_2 \dots$ .

Since  $A$  is arbitrary, critical to the proof of Theorem 10, is our other main result (Theorem 9), which establishes that every planar compactum  $Z \subset R^2$  (such that  $R^2 \setminus Z$  is connected) can be embedded in a nonseparating planar continuum  $W \subset R^2$  by attaching a null sequence of topological arcs (with disjoint interiors) to  $Z$ .

Theorem 9 effectively generalizes some recent work of Blokh, Misiurewicz, and Oversteegen [1], who employ a similar strategy in the special case the nontrivial components of  $Z$  form a null sequence of Peano continua. In [1], the resulting continuum  $W$  is a Peano continuum, but there is generally no hope for such a conclusion in Theorem 9, since some components of  $Z$  can fail to be locally connected. On the other hand a new technical hurdle (not relevant in [1]) is that  $Z$  can have uncountably many components of large diameter, (for example if  $A$  is the product of  $[0, 1]$  with a Cantor set).

Our proof of Theorem 9 exploits the  $CAT(0)$  geometry of PL planar disks as determined by internal paths of minimal Euclidean length. In various settings we wish to select a collection of short disjoint arcs with certain properties. The  $CAT(0)$  geometry supplies nontransverse arcs which can be perturbed to be disjoint while satisfying the other desired properties.

## 2. DEFINITIONS AND NOTATION

PL denotes **piecewise linear**. An **arc** is a topological space homeomorphic to  $[0, 1]$ . A **disk** is any space homeomorphic to the closed round unit disk.

If  $\alpha \subset R^2$  is an arc then the **length**  $l(\alpha)$  is the familiar Euclidean arc length ( for example if  $\alpha$  is PL then  $l(\alpha)$  the sum of the lengths of the finitely many concatenated line segments whose union is  $\alpha$ ).

**Definition 1.** Suppose  $A \subset R^2$  is the union of finitely many pairwise disjoint closed PL topological disks. Suppose  $B \subset A$  and suppose  $B \cap A_i \neq \emptyset$  for each component  $A_i \subset A$ . Let  $N(A, B) = \inf\{\delta > 0 \mid \text{for each } x \in \partial A \text{ there exists } y \in B \text{ and a PL arc } \gamma \subset A \text{ connecting } x \text{ to } y \text{ such that } l(\gamma) < \delta\}$ . Let  $M(A, B) = \inf\{\varepsilon > 0 \mid \text{for each } x \in A \text{ there exists } y \in B \text{ and a PL arc } \gamma \subset A \text{ connecting } x \text{ to } y \text{ such that } l(\gamma) < \varepsilon\}$ .

The notation **interior** is slightly abused (in the context of arcs and trees) as follows.

If  $X$  is a 2 dimensional planar set then  $\text{int}(X)$  denotes the largest open set  $U \subset R^2$  such that  $U \subset X$ .

If  $X \subset R^2$  is a 2 dimensional continuum let  $Fr(X) = X \setminus \text{int}(X)$  and call  $Fr(X)$  the **frontier** of  $X$ .

However in the special case  $\alpha \subset R^2$  is an arc we let  $\partial\alpha$  denotes the endpoints of  $\alpha$  and  $\text{int}(\alpha) = \alpha \setminus \partial\alpha$ .

If  $E \subset R^2$  is a closed topological disk then  $\partial E$  denotes the simple closed curve bounding  $\text{int}(E)$ . Thus  $\partial E = \partial(\text{int}(E))$ .

If  $X \subset R^2$  then  $X$  is a **tree** if  $X$  is connected and simply connected and homeomorphic to the union of finitely many straight Euclidean line segments.

If  $T$  is a tree then  $\text{int}(T) = \{x \in T \mid T \setminus x \text{ is not connected}\}$  and  $\partial T = T \setminus \text{int}(T)$ .

The tree  $T$  is a **trioid** if  $T$  is homeomorphic to the planar set  $([-1, 1] \times \{0\}) \cup (\{0\} \times [0, 1])$

If  $X$  is a tree then  $x$  is an **endpoint** of  $X$  if  $X \setminus \{x\}$  is connected.

If  $X \subset R^2$  then  $X$  is **cellular** if  $R^2 \setminus X$  is connected and simply connected (i.e.  $X$  is a nonseparating planar continuum).

The metric space  $X$  is a **Peano continuum** if  $X$  is compact, connected, and locally path connected.

### 3. OBTAINING NESTED COLLECTIONS OF PL DISKS $S_n$

This section clarifies how we approximate a planar compactum  $X$  (with connected complement) by nested finite collections of pairwise disjoint PL disks  $S_n$ .

Recall definition 1. Informally, using internal path length distance on  $S_n$ ,  $M$  is the Hausdorff distance between  $S_n$  and  $S_{n+1}$  and  $N$  is the Hausdorff distance between  $S_{n+1} \cup \partial S_n$  and  $S_n$ .

It is immediate  $M \geq N$ . To see why  $M$  and  $N$  can be dramatically different, imagine that  $S_n$  is a large round disk, and  $S_{n+1} \subset S_n$  is a continuum that approximates  $\partial S_n$ , but such that  $S_{n+1}$  is far from the center of the disk  $S_n$ .

Despite the above disparity we have the following Lemma.

**Lemma 1.** *Suppose  $\forall n \geq 1$ ,  $S_n \subset R^2$  is the union of finitely many pairwise disjoint PL closed topological disks such that  $S_{n+1} \subset \text{int}(S_n)$ . Then  $\lim_{n \rightarrow \infty} M(S_n, S_{n+1}) = 0$  if and only if  $N(S_n, S_{n+1}) = 0$*

*Proof.* Suppose  $\lim_{n \rightarrow \infty} M(S_n, S_{n+1}) = 0$ . Then  $\lim_{n \rightarrow \infty} N(S_n, S_{n+1}) = 0$  since  $\forall n$  we have  $N(S_n, S_{n+1}) \leq M(S_n, S_{n+1})$ .

Conversely suppose  $\lim_{n \rightarrow \infty} N(S_n, S_{n+1}) = 0$ .

Let  $X = \bigcap_{n=1}^{\infty} S_n$ . Note if  $x \in S_n$  and if  $\varepsilon > 0$  and if  $B(x, \varepsilon) \cap (S_{n+1} \cup \partial S_{n+1}) \neq \emptyset$  then there exists a PL path  $\gamma \subset S_n$  from  $x$  to  $S_{n+1}$  whose length is less than  $\varepsilon + N(S_n, S_{n+1})$ .

To obtain a contradiction suppose  $\limsup M(S_n, S_{n+1}) > \varepsilon > 0$ . Then, retaining a subsequence and reindexing, there exists  $x_n \in S_n$  such that  $B(x_n, \varepsilon) \cap (S_{n+1} \cup \partial S_n) = \emptyset$ .

Note  $B(x_n, \varepsilon) \subset R^2 \setminus X$ , since  $X \subset S_{n+1}$ .

By compactness of  $S_1$ , (once again retaining a subsequence and reindexing) we may assume that  $x_n \rightarrow x$ . Note  $x \in S_n$  since  $\{x_n, x_{n+1}, \dots\} \subset S_n$  and  $S_n$  is closed. Thus  $x \in X$ .

On the other hand  $|x - x_n| \rightarrow 0$ . Thus for sufficiently large  $n$ ,  $x \in B(x_n, \varepsilon)$  and thus  $x \in R^2 \setminus X$  and we have a contradiction.  $\square$

**Theorem 1.** *Suppose  $X \subset R^2$  is compact and  $R^2 \setminus X$  is connected. Then there exists a sequence of closed sets  $S_n \subset R^2$  such that  $S_n$  is the union of finitely many pairwise disjoint closed PL topological disks, such that  $S_{n+1} \subset \text{int}(S_n)$ , such that  $X = \bigcap_{n=1}^{\infty} S_n$  and such that  $N(S_n, S_{n+1}) < \frac{1}{10^n}$  and such that*

$$\lim_{n \rightarrow \infty} M(S_n, S_{n+1}) = 0.$$

*Proof.* Let  $U = R^2 \setminus X$ . Fix  $z \in U$ . Obtain nested path connected closed sets  $A_2 \subset A_3 \dots$  such that  $U = \bigcup_{n=2}^{\infty} A_n$  as follows.

Let  $T_n$  be a tiling of the plane (such that  $z$  is a corner of some tile), by closed squares of sidelength  $\frac{1}{2^n}$  parallel to the  $x$  or  $y$  axis.

Let  $A_n$  be a maximal path connected set containing  $z$  such that each closed tile of  $A_n$  is strictly contained in  $U$ . Since  $U$  is open and path connected the sets  $A_n$  cover  $U$ .

Since  $X$  is compact obtain  $R > 0$  such that  $X \subset [-R, R] \times [-R, R]$  and let  $S_1 = [-R, R] \times [-R, R]$  and let  $A_1 = \emptyset$ .

Suppose  $n \geq 1$  and  $S_n$  has been defined and  $S_n$  is a collection of pairwise disjoint topological disks such that  $X \subset S_n$  and such that  $S_n \cap A_n = \emptyset$ .

For each component  $P \subset S_n$  and each  $x \in P \cap X$  obtain  $0 < \delta_x^n < \frac{1}{10^n}$  such that  $\overline{B(x, \delta_x^n)} \subset \text{int}(P)$  and such that  $\overline{B(x, \delta_x^n)} \cap A_{n+1} = \emptyset$ . By compactness of  $P \cap X$  we obtain a finite subcovering  $\{B(x_i, \delta_{x_i}^n)\}$ . Let  $Y_{n+1} = \bigcup \overline{B(x_i, \delta_{x_i}^n)}$  with components  $Q_1, \dots, Q_m$ . By definition for each  $y \in Q_i$  there exists  $x \in X$  such that the line segment  $[y, x] \subset Q_i$  and such that  $l([y, x]) < \frac{1}{10^n}$ .

Notice  $Q_i$  is a locally contractible planar continuum and consequently  $Q_i$  has the homotopy type of a disk with finitely many open punctures.

Thicken the outer boundary of  $Q_i$  very slightly to obtain pairwise disjoint PL disks  $P_1, \dots, P_m$  such that  $Q_i \subset \text{int}(P_i)$  and such that  $N(P_i, X \cap P_i) < \frac{1}{10^n}$ .

Let  $S_{n+1}$  denote the union of the PL disks  $P_i$  obtained in the fashion just described.

By construction  $X \subset S_{n+1} \subset \text{int}(S_n)$  and  $S_{n+1}$  is the union of finitely many pairwise disjoint  $P$  disks such that if  $P$  is a component of  $S_{n+1}$  then  $X \cap P \subset \text{int}(P)$ . To see that  $X = \bigcap_{n=1}^{\infty} S_n$  it is immediate that  $X \subset \bigcap S_n$  since  $X \subset S_n$  for each  $n$ . Conversely suppose  $y \notin X$ . Then there exists  $n \geq 2$  such that  $y \in A_n$  and in particular  $y \notin S_n$ .

Since  $N(S_n, S_{n+1}) < \frac{1}{10^n}$ , it follows that  $N(S_n, S_{n+1}) \rightarrow 0$  and hence by Lemma 1  $M(S_n, S_{n+1}) \rightarrow 0$ .  $\square$

#### 4. THE $CAT(0)$ GEOMETRY OF A PL PLANAR DISK.

If  $P \subset R^2$  is a closed PL disk, then internal paths (in  $P$ ) of minimal Euclidean length determines a metric as follows.

Define  $d_P : P \times P \rightarrow [0, \infty)$  so that  $d(x, x) = 0$  and  $\forall M \geq 0$ , and  $x \neq y$ ,  $d(x, y) \leq M$  iff there exists a PL arc  $\alpha \subset P$  such that  $\partial\alpha = \{x, y\}$  and  $l(\alpha) \leq M$ .

Note  $d_P$  is a topologically compatible metric (since  $P$  is locally path connected and short paths exist locally).

Using polar coordinates, if  $R > 0$  and  $0 < \psi \leq 2\pi$  let  $D(R, \psi) = \{(r, \theta) \in R^2 \mid r < R \text{ and } \theta < \psi\}$ .

(For a careful exposition of the elementary properties of  $CAT(0)$  spaces we refer the reader to [2].)

Notice  $P$  has a basis of open sets each of which is isometric to some set of the form  $D(R, \psi)$ , a round Euclidean disk, possibly missing an open sector.

By inspection, pairs of points in  $D(R, \psi)$  are connected by a canonical unique path of minimal length. Moreover the triangles  $T \subset D(R, \psi)$  are ‘thin’, a pair of points in  $T$  is at least as close in  $T$  as their counterparts in the canonical Euclidean comparison triangle  $S$ .

Thus  $D(R, \psi)$  is a **CAT(0)** space, and hence  $(P, d_P)$  is locally  $CAT(0)$ . Since  $P$  is compact and simply connected,  $(P, d_P)$  is  $CAT(0)$ .

There are 3 important properties of  $(P, d_P)$  (which are true in any a  $CAT(0)$  space).

- 1) Given  $\{x, y\} \subset P$  there exists a unique arc (or point if  $x = y$ ) of minimal length (a **geodesic**) connecting  $x$  and  $y$ .
- 2) The geodesics and their lengths vary continuously with the endpoints.
- 3) The intersection of two geodesics is connected or empty.

However we will also need the following 4th (special) property of  $(P, d_P)$  concerning the geometry of an embedded triod  $T \subset P$ .

**Lemma 2.** *Suppose  $P \subset R^2$  is a PL disk with  $CAT(0)$  metric determined by paths of minimal Euclidean length. Suppose  $T \subset P$  is a topological triod such that  $\partial T = \{a, b, c\}$  and  $x \in T$  is the vertex. Suppose  $\alpha_{ab}, \alpha_{bc}, \alpha_{ac}$  denote the arcs in  $T$  connecting the respective pairs of endpoints. Then at least one of  $\alpha_{ab}, \alpha_{bc}, \alpha_{ac}$  is not a geodesic.*

*Proof.* The idea is to notice on the small scale near  $x$ ,  $T$  consists of 3 distinct straight segments emanating from  $x$ . On the small scale at least one of the 3 complementary sectors must be contained in  $\text{int}(P)$ , and this creates the possibility to shorten the side of  $T$  bounding the selected sector.

To obtain a contradiction let  $[a, x] \cup [x, b]$ , and  $[c, x] \cup [x, b]$  and  $[c, x] \cup [x, a]$  denote the geodesic sides of  $T$ . In particular  $T$  is convex in  $P$ .

On the other hand we may choose  $\varepsilon > 0$  so that if  $t < \varepsilon$  we have 3 distinct Euclidean line segments emanating from  $x \in P$ :  $[x, ta] \subset [x, a]$ ,  $[x, tb] \subset [x, b]$ , and  $[x, tc] \subset [x, c]$ .

Since  $P \subset R^2$  is a PL disk, there exists  $\delta < \varepsilon$ , such that  $\overline{B(x, \delta)} \cap P$  is isometric to a round disk with an open (possibly empty) sector missing (and we allow that a sector can have angle  $> 180^\circ$ ). Note  $\overline{B(x, \delta)} \cap P$  is convex in  $P$ .

However, by inspection  $T \cap \overline{B(x, \delta)}$  is not convex in  $\overline{B(x, \delta)} \cap P$  contradicting our assumption that  $T$  is convex in  $P$ .  $\square$

## 5. PERTURBING A PL DISK

Given a PL disk  $Q \subset R^2$ , with finitely many marked points  $Y \subset \partial Q$  we wish to show the existence of arbitrarily small perturbations  $P$  of  $Q$ , so that the respective geometries of  $P$  and  $Q$  are very close to each other, and so that  $P \subset Q$  and  $Q \cap \partial P = Y$ .

This is obvious and the idea is simply to push the components of  $\partial Q \setminus Y$  inward by a very tiny amount.

**Lemma 3.** *Suppose  $\alpha \subset R^2$  is a PL arc and  $\delta > 0$ . There exist PL arcs  $\beta \subset R^2$  and  $\gamma \subset R^2$  such that  $\text{int}(\beta) \cap \text{int}(\gamma) = \emptyset$  and  $\alpha$  is a spanning arc of the PL disk  $D(\beta, \gamma)$  and there exists a homeomorphism  $h : D(\beta, \gamma) \rightarrow D(\beta, \alpha)$  such that  $|d_{D(\beta, \alpha)}(h(x), h(y)) - d_{D(\beta, \gamma)}(x, y)| < \delta$ .*

*Proof.* Let  $v_0, \dots, v_n$  denote consecutive vertices of  $\alpha$ . Notice if  $\gamma$  is sufficiently small there exist points  $w_1, \dots, w_{n-1}$  such that  $w_i \notin \alpha$  and  $|w_i - v_i| < \gamma$  and such that all of the following hold:

- 1) The PL path  $\beta = [w_0, w_1] \cup [w_1, w_2] \dots \cup [w_{n-1}, w_n]$  is an arc such that  $\text{int}(\alpha) \cap \text{int}(\beta) = \emptyset$ .

2) If  $T_i$  denotes the convex hull of  $\{v_{i-1}, w_{i-1}, v_i, w_i\}$ , then  $T_i$  is convex in  $R^2$ .

3) The closed disk  $D(\beta, \alpha)$  bounded by  $\alpha$  and  $\beta$  can be canonically fibred by line segments as follows:

If  $L_i : [v_i, v_{i+1}] \rightarrow [w_i, w_{i+1}]$  is the order preserving linear homeomorphism then  $[v_{i,t}, L_i(v_{i,t})] \subset D(\beta, \alpha)$

and if  $j \neq i$  or if  $s \neq t$  then  $[v_{i,t}, L_i(v_{i,t})] \cap [v_{j,s}, L_i(v_{j,s})] = \emptyset$ .

4) If  $i \in \{2, 3, \dots, n-1\}$  then  $D(\beta, \alpha) \setminus T_i$  is disconnected.

Given  $\alpha$  and  $\beta$ , we can perform a similar construction on the other side of  $\alpha$  to obtain an arc  $\gamma$  with the same properties (with respect to  $\alpha$ ) as  $\beta$  such that  $\text{int}(\gamma) \cap \text{int}(\beta) = \emptyset$ .

Let  $S_1 < \dots < S_n$  denote the convex cells of  $D(\alpha, \gamma)$  and let  $u_0, \dots, u_n$  denote the vertices of  $\gamma$ .

Now from the above construction we have canonical PL homeomorphisms  $f : \alpha \rightarrow \beta$  and  $g : \alpha \rightarrow \gamma$ .

Hence we obtain a canonical homeomorphism  $h : D(\beta, \gamma) \rightarrow D(\alpha, \gamma)$  as follows. For each  $x \in \alpha$ , let  $h$  map the PL arc  $[f(x), x] \cup [x, g(x)]$  'linearly' onto the segment  $[x, g(x)]$  so that  $h_{[x, g(x)]}^{-1}$  has constant speed.

Q Since  $T_i$  is convex, if the line segment  $\gamma_i \subset T_i$  connects  $[w_{i-1}, v_{i-1}]$  to  $[v_i, w_i]$ , then  $l([w_{i-1}, v_{i-1}]) \leq l(\gamma_i) \leq l([v_i, w_i])$  or  $l([w_{i-1}, v_{i-1}]) \geq l(\gamma_i) \geq l([v_i, w_i])$ .

If  $\gamma$  is small then  $||w_{i-1} - w_i| - |v_{i-1} - v_i|| < \frac{\delta}{2n}$  and  $||u_{i-1} - u_i| - |v_{i-1} - v_i|| < \frac{\delta}{2n}$

By condition 4, a given geodesic  $\lambda \subset D(\beta, \gamma)$  is the union of line segments from consecutive cells  $T_i \cup S_i, \dots, T_{i+k} \cup S_{i+k}$ .

Consequently  $l(h(\gamma)) < l(\lambda) + n(\frac{\delta}{2n} + \frac{\delta}{2n}) = l(\lambda) + \delta$ .

In similar fashion a given geodesic  $\lambda \subset D(\alpha, \gamma)$  is the union of line segments from consecutive cells  $S_i, S_{i+1}, \dots, S_{i+k}$ . Hence  $l(h^{-1}(\lambda)) < l(\lambda) + \delta$ .  $\square$

**Lemma 4.** Suppose  $P \subset R^2$  is a PL disk and  $Y = \{y_1, \dots, y_n\} \subset \partial P$  and  $\varepsilon > 0$ . There exists a PL disk  $Q \subset R^2$  such that  $P \subset Q$  and  $\partial P \cap \partial Q = Y$  and there exists a homeomorphism  $h : Q \rightarrow P$  such that  $|d_Q(x, y) - d_P(h(x), h(y))| < \varepsilon$  and such that  $h_Y = \text{id}_Y$ .

*Proof.* Let  $\alpha_1, \dots, \alpha_n$  denote the arcs between consecutive points of  $Y$  so that  $\cup \alpha_i = \partial P$ . Let  $A$  denote the set of vertices of  $\partial P$  and let  $M = |A \cup Y|$ .

Apply the previous Lemma with  $\delta = \frac{\varepsilon}{M}$  to each  $\alpha_i$  so that  $\text{int}(D(\beta_i, \gamma_i)) \cap \text{int}(D(\beta_j, \gamma_j)) = \emptyset$  if  $i \neq j$ .

Let  $Q = \cup D(\beta_i, \alpha_i) \cup P$  and let  $h_i : D(\beta_i, \gamma_i) \rightarrow D(\alpha_i, \gamma_i)$  be the canonical homeomorphism (defined in Lemma 3) and let  $E$  denote the PL disk bounded by  $\cup \gamma_i$ .

Notice we have a total of  $2M$  sets of the form  $T_j^i$  or  $S_j^i$  and each set  $T_j^i$  and each set  $S_j^i$  is a convex Euclidean quadrilateral.

In particular if  $\lambda \subset Q$  is a geodesic then  $\gamma \cap T_j^i$  is a (connected) geodesic and  $\gamma \cap S_j^i$  is a (connected) geodesic and thus  $l(h(\gamma \cap T_j^i)) < l(\gamma \cap T_j^i) + \frac{\varepsilon}{2M}$  and  $l(h(\gamma \cap S_j^i)) < l(\gamma \cap S_j^i) + \frac{\varepsilon}{2M}$

In similar fashion if  $\lambda \subset P$  is a geodesic then  $\lambda \cap S_j^i$  is a (connected) geodesic and  $l(h^{-1}(\gamma \cap S_j^i)) < l(\gamma \cap S_j^i) + \frac{\varepsilon}{2M}$ .

Thus if  $\lambda \subset Q$  is a geodesic we let  $\lambda = \psi_1 \cup \psi_2 \dots$  such that  $\psi_1, \psi_2, \dots$  are consecutive distinct components of either  $\lambda \cap E$  or  $\lambda \cap (\cup_i D(\beta_i, \gamma_i))$ .

Note if  $\psi_j$  is a component of  $\lambda \cap E$  then  $h(\psi_j) = \psi_j$  and hence  $l(\psi_j) = l(h(\psi_j))$

If  $\psi$  is a component of  $\lambda \cap D(\beta_i, \gamma_i)$  then  $l(h(\psi_j)) < l(\psi_j) + \frac{\varepsilon}{M}$  and thus  $l(h(\lambda)) = \Sigma l(h(\psi_i)) + \varepsilon$

In similar fashion if  $\lambda \subset P$  is a geodesic we let  $\lambda = \psi_1 \cup \psi_2 \dots$  such that  $\psi_1, \psi_2, \dots$  are consecutive distinct components of either  $\lambda \cap E$  or  $\lambda \cap (\cup_i D(\alpha_i, \gamma_i))$ .

Note if  $\psi_j$  is a component of  $\lambda \cap E$  then  $h^{-1}(\psi_j) = \psi_j$  and hence  $l(\psi_j) = l(h^{-1}(\psi_j))$

If  $\psi_j$  is a component of  $\lambda \cap D(\alpha_i, \gamma_i)$  then  $l(h^{-1}(\psi_j)) < l(\psi_j) + \frac{\varepsilon}{M}$  and thus  $l(h^{-1}(\lambda)) = \Sigma l(h(\psi_i)) + \varepsilon$ .  $\square$

**Theorem 2.** *Suppose  $Q \subset R^2$  is a PL disk and  $Y \subset \partial Q$  is finite. Suppose  $E \subset \text{int}(Q) \cup Y$  and assume  $E$  is a PL compactum with finitely many components, and assume  $\varepsilon > 0$ . Then there exists a PL disk  $P \subset Q$  such that  $P \cap \partial Q = Y$  and there exists a homeomorphism  $h : Q \rightarrow P$  such that if  $d_P$  and  $d_Q$  denote the respective  $CAT(0)$  metrics on  $P$  and  $Q$  then  $h_E = \text{id}_E$ , and if  $\{x, y\} \subset Q$  then  $|d_P(h(x), h(y)) - d_Q(x, y)| < \varepsilon$ .*

*Proof.* Starting with  $Q$ , push the components of  $\partial Q \setminus Y$  inward by a sufficiently tiny amount, obtaining a PL disk  $P \subset Q$  so that so that, recalling Lemma 4, the homeomorphism  $h : Q \rightarrow P$  fixes  $E \cup Y$  pointwise.  $\square$

## 6. CONNECTING $\partial S_n$ TO $S_n$ WITH VERY SHORT ARCS

Recall we have  $S_{n+1} \subset S_n$  and  $S_i$  is a collection of pairwise disjoint PL disks in  $R^2$  such that  $S_{n+1} \subset \text{int}(S_n)$  and each component of  $S_n$  contains at least one component of  $S_{n+1}$ .

Given finitely many marked points  $Y_n \subset \partial S_n$ , we wish naively to connect  $Y_n$  to  $\partial S_{n+1}$  with pairwise disjoint arcs in  $S_n$  of minimal length. The simplest strategy almost works. If we select the shortest possible arcs while ignoring the constraint of disjointness, the  $CAT(0)$  geometry guarantees the selected arcs don't cross transversely. Consequently we can perturb (arbitrarily) the selected arcs to be disjoint, (and in our particular application all will have length less than  $\frac{1}{10^n}$ ).

**Lemma 5.** *Suppose  $\alpha_1, \dots, \alpha_n$  are PL arcs in the plane with common endpoint  $z$  such that  $\alpha_i \cap \alpha_j$  is connected. Then  $\cup_{i=1}^n \alpha_i$  is a tree.*

*Proof.* Note  $\alpha_1$  is simply connected. By induction suppose  $\cup_{i=1}^{k-1} \alpha_i$  is simply connected. Let  $\partial \alpha_k = \{x, z\}$ . If  $x \in \cup_{i=1}^{k-1} \alpha_i$  then let  $x \in \alpha_i$  for  $i \leq k-1$ . Thus  $\alpha_k \subset \alpha_i$  since, by the hypothesis of the Lemma,  $\alpha_k \cap \alpha_i$  is connected. Hence  $\cup_{i=1}^{k-1} \alpha_i = \cup_{i=1}^k \alpha_i$  and by the induction hypothesis both spaces are simply connected.

If  $x \notin \cup_{i=1}^{k-1} \alpha_i$  let  $J$  be the component of  $(\cup_{i=1}^{k-1} \alpha_i) \setminus \alpha_k$  such that  $x \in J$ . Let  $y = \overline{J} \setminus J$ . Let  $y \in \alpha_i$  for  $i < k$ . Then  $(\alpha_k \setminus J) \subset \alpha_i$  since  $\{y, z\} \subset \alpha_k \cap \alpha_i$ .

To obtain a contradiction suppose  $\cup_{i=1}^k \alpha_i$  is not simply connected. Let  $S \subset \cup_{i=1}^k \alpha_i$  be a simple closed curve. By the induction hypothesis  $\cup_{i=1}^{k-1} \alpha_i$  is simply connected and hence  $\alpha_k \cap S \neq \emptyset$  and hence  $S \cap J \neq \emptyset$ . Now we have a contradiction since  $J$  is an initial segment of  $\alpha_k$  and  $J \cap (\cup_{i=1}^{k-1} \alpha_i) = \emptyset$ .  $\square$

**Theorem 3.** *Suppose each of the sets  $S_n \subset R^2$  and  $S_{n+1} \subset R^2$  is a collection finitely many pairwise disjoint closed PL disks. Suppose  $S_{n+1} \subset \text{int}(S_n)$  and  $N(S_n, S_{n+1}) < \delta_n$ . Suppose  $Y_n = \{y_1, \dots, y_m\} \subset \partial S_n$ . Then there exists a collection of pairwise disjoint closed arcs  $\gamma_1, \dots, \gamma_m$  such that  $\text{int}(\gamma_i) \subset \text{int}(S_n) \setminus S_{n+1}$  and  $\gamma_i$  connects  $y_i$  to  $S_{n+1}$  and  $l(\gamma_i) < \delta_n$ .*

*Proof.* The strategy is to first recursively select arcs of minimal length  $\alpha_1, \dots, \alpha_m$  in  $S_n$  such that  $\alpha_i$  connects  $y_i$  to  $S_{n+1}$ . Unfortunately it can happen that  $\alpha_i \cap \alpha_j \neq \emptyset$ . However, by virtue of our construction, if  $\alpha_i \cap \alpha_j \neq \emptyset$  then  $\alpha_i \cap \alpha_j$  is a final segment of each of  $\alpha_i$  and  $\alpha_j$ . Consequently we can perturb the arcs  $\{\alpha_i\}$  to very short pairwise disjoint arcs  $\{\gamma_i\}$ .

Recall each component of  $S_n$  admits a canonical  $CAT(0)$  metric determined by minimal Euclidean path length between points.

By compactness of  $S_n$ , there exists an arc  $\alpha_1 \subset S_n$  of minimal length connecting  $y_1$  to  $\partial S_{n+1}$ . Let  $\partial(\alpha_1) = \{y_1, z_1\}$ . We proceed recursively as follows.

Suppose the geodesic arcs  $\alpha_1, \dots, \alpha_{i-1} \subset S_n$  have been chosen so that if  $k \leq i-1$  then  $\alpha_k$  is a path of minimal length connecting  $y_k$  to  $\partial S_{n+1}$  at  $z_k$  and suppose if  $k < j \leq i-1$  and if  $\alpha_k \cap \alpha_j \neq \emptyset$  then  $z_k = z_j$ .

Let  $\alpha_i^*$  be a minimal arc connecting  $y_i$  to  $\partial S_{n+1}$  in  $S_n$ . If  $\alpha_i^* \cap \alpha_j = \emptyset$  for all  $j < i$  then let  $\alpha_i = \alpha_i^*$  and notice the induction hypothesis holds for  $\{\alpha_1, \dots, \alpha_i\}$ .

If there exists  $j < i$  such that  $\alpha_i^* \cap \alpha_j \neq \emptyset$  let  $\alpha_i^* \cap \alpha_j = [x, y]$  with  $y_j \leq x \leq y \leq z_j$ . Notice  $l([x, z_i]) = l([x, z_j])$  since otherwise one of  $\alpha_j$  or  $\alpha_i$  could be strictly shortened contradicting minimality. Define  $\alpha_i = [y_i, x] \cup [x, z_j]$ . Note  $l(\alpha_i^*) = l(\alpha_i)$  and hence  $\alpha_i$  has minimal length. If  $\alpha_i \cap \alpha_k \neq \emptyset$  then, by definition of  $\alpha_i$  and the induction hypothesis we have  $z_i = z_j = z_k$ .

Finally, note since  $N(S_n, S_{n+1}) < \delta_n$ , that  $l(\alpha_i) < \delta_n$  for all  $i$ .

To perturb the arcs  $\{\alpha_i\}$ , first notice the collection  $\{\alpha_i\}$  is naturally partitioned via the equivalence relation  $\alpha_i \sim \alpha_j$  if and only if  $z_i = z_k$ .

Thus for each equivalence class  $[z_i]$  consider the arcs  $\beta_1^i, \dots, \beta_k^i \subset \{\alpha_1, \dots, \alpha_m\}$  such that  $\beta_j^i$  connects  $y_j^i$  to  $z_i$ . Note if  $z_k \neq z_i$  then  $(\cup_j \beta_j^i) \cap (\cup_j \beta_j^k) = \emptyset$  since if  $z_i \neq z_k$  then  $\alpha_i \cap \alpha_k = \emptyset$ .

Fixing  $i$ , note  $\{y_j^i\}$  inherits a canonical circular order from the simple closed curve component of  $\partial S_n$ . Now we will select a ‘starting point’  $y \in \{y_j^i\}$  as follows.

Let  $D_i$  denote the component of  $S_{n+1}$  such that  $z_i \in D_i$ . Notice  $z_i \in \partial D_i$  since  $\beta_j^i$  has minimal length.

Let  $T^i = \cup \beta_j^i$ . By Lemma 5  $T^i$  is a finite PL tree, and, by hypothesis, if  $k \neq i$  then  $T^i \cap T^k = \emptyset$ .

Fix an arbitrary point  $w \in \partial D_i \setminus z_i$ . Start at  $w$  and travel clockwise along  $\partial D_i$  and stop at  $z_i$ . Then travel monotonically along  $T^i$ , turning ‘left’ whenever possible and stop at  $y \in Y_n$ , and we have canonically obtained a ‘starting point’ from our circularly ordered set  $\{y_j^i\}$ .

Now, keeping  $i$  fixed and permuting  $j$ , reindex  $\{\beta_j^i\}$  such that  $y = y_1^i < \dots < y_m^i$ , ordered in clockwise fashion on  $\partial S_n$ .

Theorem 2 ensures we can obtain arbitrarily small perturbations of  $\{\beta_j^i\}$  to obtain the desired arcs  $\{\gamma_j^i\}$ .  $\square$

## 7. CONNECTING CELLULAR SETS IN PL DISKS WITH SHORT ARCS

Recall at the  $n$ th stage of our construction we have  $S_{n+1} \subset S_n$  and  $S_i$  is a collection of pairwise disjoint PL disks such that  $S_{n+1} \subset \text{int}(S_n)$  and each component of  $S_n$  contains at least one component of  $S_{n+1}$ . At this stage we also have finitely many arcs pairwise disjoint PL arcs  $\gamma_1, \gamma_2, \dots$  connecting  $\partial S_n$  to  $S_{n+1}$  such that  $l(\gamma_i) < \frac{1}{10^n}$  and such that  $\text{int}(\gamma_i) \subset S_n \setminus S_{n+1}$ .

Note each component  $D_j \subset S_{n+1} \cup \gamma_1 \cup \gamma_2 \dots$  is a PL cellular set.



Our naive hope is to attach closed disjoint arcs  $\alpha_1, \alpha_2, \dots$ , of minimal length to  $\cup D_j$  in order create one cellular continuum in each component of  $S_n$ , and we also hope that  $\alpha_i \cap \gamma_j = \emptyset$ .

The simplest strategy almost works. If we begin connecting together the sets  $D_1, D_2, \dots$  with minimal length arcs  $\alpha_1, \alpha_2, \dots$ , without regard to whether the newly selected arcs  $\{\alpha_n\}$  are disjoint, ultimately the  $CAT(0)$  structure on the components of  $S_n$  ensures our newly selected arcs do not cross transversely.

However there are two technical problems with the output arcs  $\alpha_1, \alpha_2, \dots$ .

As mentioned, the first problem is the arcs  $\{\alpha_n\}$  are typically not disjoint, however this can be fixed by arbitrarily small perturbations, since the arcs  $\{\alpha_n\}$  do not cross each other transversely.

The second problem is that  $\alpha_i \cap \gamma_j \neq \emptyset$  can happen, yet we were hoping that  $\alpha_i \cap \gamma_j = \emptyset$  for all  $i$  and  $j$ . This problem can be fixed by a perturbation on the order of  $\frac{1}{10^n}$ , since  $l(\gamma_i) < \frac{1}{10^n}$ , and the idea is to ‘slide’ the arcs  $\alpha_i$  off of  $\gamma_j$  (in a tiny neighborhood of  $\gamma_j$ ) so that  $\alpha_i \subset S_n$  connects distinct PL disks from  $S_{n+1}$ .

By construction the originally selected arcs  $\alpha_1, \alpha_2, \dots$  are ‘short’ and have length at most  $2M(S_n, S_{n+1})$  (double the Hausdorff (using path length) distance between  $S_n, S_{n+1}$ ).

After two or three perturbations of the arcs  $\{\alpha_n\}$  we have arcs  $\{\alpha_n^{***}\}$  such that  $l(\alpha_n^{***}) < 2M(S_n, S_{n+1}) + 2N(S_n, S_{n+1})$  with all the desired properties, namely  $\alpha_n^{***}$  connects distinct components of  $S_{n+1}$  and  $int(\alpha_n^{***}) \subset int(S_n)$ , and  $\alpha_i^{***} \cap \gamma_j = \emptyset$  for all  $i$  and  $j$ .

Combining all the constructions and perturbations in this section 7 (and its subsections), we obtain the following theorem, ultimately critical to the recursive process by which we will attach a null sequence of arcs to an arbitrary planar compactum.

**Theorem 4.** *Suppose  $P \subset R^2$  is a closed PL disk and  $E_1, E_2, \dots, E_n$  are pairwise disjoint closed PL disks such that  $\cup E_i \subset int(P)$ . Suppose  $\{y_1, \dots, y_m\} \subset P$  and suppose  $\gamma_1, \gamma_2, \dots, \gamma_m$  is a collection of pairwise disjoint closed PL arcs such that  $\gamma_i$  connects  $y_i$  to  $\partial(\cup E_i)$  and such that  $l(\gamma_i) < N(P, \cup E_i)$  and such that  $int(\gamma_i) \subset P \setminus (\cup E_i)$ . Then there exist finitely many pairwise disjoint PL closed arcs  $\alpha_1^{***}, \alpha_2^{***}, \dots \subset P$ , such that  $l(\alpha_i^{***}) < 2(M(P, \cup E_i) + N(P, \cup E_i))$  and such that  $\alpha_i^{***}$  connects distinct components of  $\cup E_i$ , such that  $int(\alpha_i^{***}) \subset P \setminus ((\cup E_i) \cup (\cup \gamma_j))$ , and such that  $\{\cup \alpha_i^{***}\} \cup \{\cup \gamma_j\} \cup \{\cup E_k\}$  is cellular.*

**7.1. An arc selection algorithm.** The **input** for the algorithm is the data  $P, D_1, \dots, D_n$  satisfying the following two conditions:

- 1)  $P \subset R^2$  is a closed PL disk.
- 2)  $\{D_i\}$  is a collection of pairwise disjoint cellular sets such that  $\cup_{i=1}^n D_i \subset P$ .

Consider the topologically compatible  $CAT(0)$  metric  $d : P \times P \rightarrow [0, \infty)$  satisfying  $\forall M \geq 0$ ,  $d(x, y) \leq M$  iff there exists a PL path in  $P$  connecting  $x$  to  $y$  of Euclidean pathlength  $M$  or less.

Starting at  $k = 1$  select arcs  $\alpha_k \subset P$  recursively as follows.

Let  $F_k = D_1 \cup D_2 \dots \cup D_n \cup \alpha_1 \dots \alpha_{k-1}$ .

If  $F_k$  is connected terminate the algorithm.

If  $F_k$  is not connected, let  $C_k$  denote the set of all paths  $\beta$  in  $P$  such that  $\beta$  connects distinct components of  $F_k$  and such that the endpoints of  $\beta$  belong to distinct components of  $\cup_{i=1}^n D_i$ .

Let  $P_k$  denote the set of all  $g \in C_k$  such that  $g$  has minimal length. Note  $P_k \neq \emptyset$  since  $F_k$  and  $\cup_{j=1}^n D_j$  are compact.

If possible, select  $\alpha_k \in P_k$  such that for all  $i < k$ ,  $\alpha_i \cap \alpha_k$  does not disconnect  $\alpha_k$ . Otherwise terminate the algorithm.

The algorithm eventually terminates since  $F_0$  has finitely many components and  $F_{k-1}$  has strictly fewer components than  $F_k$ .

**Lemma 6.** *Suppose  $\alpha_1, \dots, \alpha_k$  have been selected by the previous algorithm. Suppose  $M(P, \cup D_i) < \varepsilon$ . Then for each  $i$ ,  $l(\alpha_i) < 2\varepsilon$  and  $\text{int}(\alpha_i) \subset P \setminus (\cup_{k=1}^n D_k)$ . If  $i < k$  then  $l(\alpha_i) \leq l(\alpha_k)$ .*

*Proof.* Suppose  $A$  and  $B$  is any separation of  $\cup_{j=1}^n D_j$ . Since  $A$  and  $B$  are compact, there exists a path of minimal length  $g$  connecting  $A$  to  $B$  and such a path must be a geodesic arc (since all nongeodesics in  $P$  can be strictly shortened while keeping the endpoints fixed), and since all nontrivial geodesics in a  $CAT(0)$  space are topological arcs.

Let  $x$  be the midpoint of  $g$  and let  $g = [a, x] \cup [x, b]$  with  $l([a, x]) = l([x, b])$  and  $a \in A$  and  $b \in B$ .

Let  $[x, z]$  be a geodesic connecting  $x$  to  $A \cup B$  such that  $l([x, z]) < \varepsilon$ . Since  $z \in A \cup B$  wlog we may assume  $z \in A$ . To obtain a contradiction assume  $l([z, x]) < l([a, x])$ . Then the path  $[z, x] \cup [x, b]$  connects  $A$  to  $B$  and  $l([z, x] \cup [x, b]) < l(g)$  contradicting the fact that  $g$  has minimal length among all such paths. Thus  $l([z, x]) = l([a, x])$  and hence  $l(g) < 2\varepsilon$ .

By definition  $\alpha_i$  connects distinct components  $E$  and  $G$  of  $F_i$ . Let  $A = E \cap (\cup_{k=1}^n D_k)$  and let  $B = (F_i \setminus E) \cap (\cup_{k=1}^n D_k)$ . It follows that  $\alpha_i$  is an arc of minimal length connecting  $A$  and  $B$ . Thus  $l(\alpha_i) < 2\varepsilon$ .

Let  $\beta_i : [0, 1] \rightarrow \alpha_i$  be a homeomorphism such that  $\beta_i(0) \in A$ .

To prove  $\text{int}(\alpha_i) \subset P \setminus (\cup D_i)$ , let  $t$  be maximal such that  $\beta_i(t) \in A$ . It follows that there exists  $\delta > 0$  such that if  $s \in (t, t + \delta)$  then  $\beta_i(s) \in \alpha_i \cap (P \setminus (A \cup B))$ .

Let  $J$  the component of  $\alpha_i \cap (P \setminus (A \cup B))$  such that  $\beta_i(t, t + \delta) \subset J$ . It follows that the other endpoint of  $J$  is in  $B$ .

Then  $J = \text{int}(\alpha_i)$ , (since otherwise  $l(J) < l(\alpha_i)$  contradicting the fact that  $l(\alpha_i)$  is minimal among arcs in  $P$  connecting  $A$  and  $B$ ).

Notice if  $i < k$  then  $C_k \subset C_i$  and hence  $l(\alpha_i) \leq l(\alpha_k)$ .  $\square$

7.1.1. *If  $k \leq n - 1$  then  $\alpha_k$  exists.* It is not obvious when our algorithm terminates. In principle two selected arcs  $\alpha_i$  and  $\alpha_j$  could cross transversely and thus terminate the algorithm prematurely.

However, the Theorem in this section shows the aforementioned disaster does not occur.

In the proof we break the hypothetical data into cases and we argue the least obvious case first, and we exploit symmetry of the data to cut down the number of cases to a manageable size.

Lemma 2 is of particular importance in the least obvious cases.

**Theorem 5.** *For each  $k < n$  there exists  $\alpha_k \in P_k$  such that for all  $i < k$   $\alpha_i \cap \alpha_k$  does not disconnect  $\alpha_k$ .*

*Proof.* If  $n = 1$  the theorem is vacuously true. Suppose  $n \geq 2$ . Notice  $\alpha_1$  exists.

To obtain a contradiction assume the Theorem at hand is false. Choose  $k < n - 1$  minimal so that the theorem false.

Thus for all  $\beta \in P_k$  there exists  $j < k$  such that  $\alpha_j \cap \beta$  disconnects  $\beta$ .

Choose  $i$  maximal so that there exists  $\beta \in P_k$  such that  $\beta \cap \alpha_j$  does not disconnect  $\beta$  for all  $j < i$ .

Now define  $\alpha_k \in P_k$  such that  $\alpha_k \cap \alpha_j$  does not disconnect  $\alpha_k$  for all  $j < i$  and such that  $\alpha_k \cap \alpha_i$  disconnects  $\alpha_k$ .

Note  $\alpha_i \cap \alpha_k \neq \emptyset$  (since otherwise  $\alpha_i$  and  $\alpha_k$  are disjoint and in particular  $\alpha_i \cap \alpha_k$  would fail to disconnect  $\alpha_k$ .)

Since  $i \neq k$ ,  $\alpha_i \neq \alpha_k$  (since  $\alpha_k$  connects distinct components of  $F_k$ , and the continuum  $\alpha_i$  is contained in some component of  $F_k$ ). Hence  $\partial\alpha_i \neq \partial\alpha_k$  by uniqueness of geodesics with common endpoints.

Thus  $3 \leq |\partial\alpha_i \cup \partial\alpha_k| \leq 4$ . We show  $|\partial\alpha_i \cup \partial\alpha_k| = 4$  as follows.

(If  $3 = |\partial\alpha_i \cup \partial\alpha_k|$  let  $\{b\} = \partial\alpha_i \cap \partial\alpha_k$ . Thus  $b \in \alpha_i \cap \alpha_k$ . Moreover  $\alpha_i \cap \alpha_k$  is a geodesic since each of  $\alpha_i$  and  $\alpha_k$  is a geodesic. In particular  $\alpha_i \cap \alpha_k$  is connected. Thus, since  $b \in \alpha_i \cap \alpha_k$ ,  $\alpha_i \cap \alpha_k$  is an initial segment of  $\alpha_k$ , contradicting our assumption that  $\alpha_k \cap \alpha_i$  disconnects  $\alpha_k$ .)

Since  $|\partial\alpha_i \cup \partial\alpha_k| = 4$ ,  $(\alpha_i \cap \alpha_k) \subset \text{int}(\alpha_k)$  and  $(\alpha_i \cap \alpha_k) \subset \text{int}(\alpha_i)$ .

Let  $[z, y] = \text{int}(\alpha_k) \cap \text{int}(\alpha_i)$  and let  $\alpha_i = [a, z] \cup [z, y] \cup [y, b]$  and let  $\alpha_k = [c, z] \cup [z, y] \cup [y, d]$ .

Let  $x \in [z, y]$ . Thus we have five distinct points  $\{a, b, c, d, x\}$ . Moreover we see that each of  $\{a, x, b, c\}$  is  $\{a, x, b, d\}$  noncolinear as follows.

(By symmetry it suffices to see that  $c \cup \alpha_i$  is not colinear. Let  $\alpha$  be a geodesic containing  $\alpha_i$ . Recall  $c \notin [a, b]$  and thus if  $c \in \alpha_i$  then wlog  $c < a < x$  on  $\alpha$ . However  $a \notin [c, x]$  and we have a contradiction.)

We begin with the hardest cases.

**A: The cases**  $l[a, x] = l[b, x] = l[c, x]$  or  $l[a, x] = l[b, x] = l[d, x]$

By symmetry it suffices to treat the case  $l[a, x] = l[b, x] = l[c, x]$ .

Let  $G$  and  $H$  denote the components of  $F_i$  such that  $a \in G$  and  $b \in H$ .

**Case A1.** If  $c \notin G \cup H$  then  $\{[a, x] \cup [x, c], [c, x] \cup [x, b]\} \subset C_i$  and each have length equal to that of  $\alpha_i$ .

By definition  $[a, x] \cup [x, b]$  is a geodesic. By Lemma 2, at least one of  $[a, x] \cup [x, c]$  or  $[c, x] \cup [x, b]$  is not a geodesic and can be strictly shortened while keeping the endpoints fixed, contradicting our choice of  $\alpha_i$ .

**Case A2.** Suppose  $c \in G$ . Then  $c \notin H$ . Let  $\hat{\alpha}_k = [a, x] \cup [x, d]$ . Note  $\hat{\alpha}_k \in C_k$  and  $l(\hat{\alpha}_k) = l(\alpha_k)$ . If  $\hat{\alpha}_k$  is not a geodesic then it can be strictly shortened while keeping the endpoints fixed, contradicting our choice of  $\alpha_k$ . Thus we may assume that  $\hat{\alpha}_k$  is also a geodesic.

Suppose  $j < i$ .

**Case A2a.** Suppose  $x \notin \alpha_j \cap \hat{\alpha}_k$ .

Then  $(\hat{\alpha}_k \cap \alpha_j) \subset [a, x]$  or  $(\hat{\alpha}_k \cap \alpha_j) \subset [x, d]$ .

If  $(\hat{\alpha}_k \cap \alpha_j) \subset [a, x]$  then  $\hat{\alpha}_k \cap \alpha_j = \alpha_i \cap \alpha_j$  which is an initial segment of  $\alpha_i = [a, x] \cup [x, b]$  by induction hypothesis. Hence  $a \in (\hat{\alpha}_k \cap \alpha_j)$  and in particular  $(\hat{\alpha}_k \cap \alpha_j)$  does not separate  $\hat{\alpha}_k$ .

If  $(\hat{\alpha}_k \cap \alpha_j) \subset [x, d]$  then  $\hat{\alpha}_k \cap \alpha_j = \alpha_k \cap \alpha_j$  which is an initial segment of  $\alpha_k = [c, x] \cup [x, d]$  by the induction hypothesis. Hence  $d \in (\hat{\alpha}_k \cap \alpha_j)$  and in particular  $(\hat{\alpha}_k \cap \alpha_j)$  does not separate  $\hat{\alpha}_k$ .

**Case A2b.** Suppose  $x \in \alpha_j \cap \hat{\alpha}_k$ . Note  $x \in \alpha_i \cap \alpha_k \cap \alpha_j$ .

Since  $\text{int}(\alpha_j) \cap \text{int}(\alpha_i) \neq \emptyset$ , by induction hypothesis  $\alpha_j \cap \alpha_i$  is an initial segment of  $\alpha_i$ .

If  $a \in \alpha_j \cap \alpha_i$  then it follows that it follows that  $\alpha_k \cap \alpha_j$  is an initial segment of  $\alpha_k$  and hence  $\alpha_k \cap \alpha_j$  does not disconnect  $\alpha_k$ .

Thus we may assume henceforth that  $b \in \alpha_j \cap \alpha_i$ . It follows that  $[b, x] \subset (\alpha_j \cap \alpha_i)$  since  $\alpha_j \cap \alpha_i$  is a geodesic containing  $\{x, b\}$ .

Since  $\text{int}(\alpha_j) \cap \text{int}(\alpha_k) \neq \emptyset$ , by induction hypothesis  $\alpha_j \cap \alpha_k$  is an initial segment of  $\alpha_k$ .

If  $c \in \alpha_j \cap \alpha_k$  then it follows that  $\alpha_k \cap \alpha_j$  is an initial segment of  $\alpha_k$  and hence  $\alpha_k \cap \alpha_j$  does not disconnect  $\alpha_k$ .

Thus we may assume henceforth that  $d \in \alpha_j \cap \alpha_k$ . It follows that  $[d, x] \subset (\alpha_j \cap \alpha_k)$  since  $\alpha_j \cap \alpha_k$  is a geodesic containing  $\{x, d\}$ .

By Lemma 2, since  $[a, x] \cup [x, d]$  and  $[a, x] \cup [x, b]$  are geodesics, the path  $[b, x] \cup [x, d]$  is not a geodesic.

On the other hand the nongeodesic  $[b, x] \cup [x, d]$  is a subarc of the geodesic  $\alpha_j$  and we have a contradiction.

**Conclusion of case A2.** We have shown that  $\alpha_k \in P_k$  and for all  $j \leq k-1$  we have that  $\alpha_k \cap \alpha_j$  does not disconnect  $\alpha_k$ . This contradicts our original assumptions on  $k$  and  $i$ .

**Case A3.** Suppose  $c \notin G$  and  $c \in H$ . Then repeat case 2 while exchanging the roles of  $a$  and  $b$ .

For the remaining cases, by symmetry, we lose no generality in assuming that  $l([a, x]) \leq l([b, x])$ .

**B: The cases**  $l[c, x] < l[a, x]$  or  $l[d, x] < l[a, x]$ .

By symmetry we may assume  $l[c, x] < l[a, x]$ .

Note  $l[c, x] < l([b, x])$ . Recall  $\alpha_i = [a, x] \cup [x, b]$ .

Let  $G$  and  $H$  be distinct components of  $F_i$  such that  $a \in G$  and  $b \in H$ . Since  $G \cap H = \emptyset$ ,  $c \notin G \cap H$ .

If  $c \notin G$  then  $[a, x] \cup [x, c] \in C_i$  and  $l([a, x] \cup [x, c]) < l(\alpha_i)$  contradicting our choice of  $\alpha_i$ .

If  $c \in G$  then  $c \notin H$ . Note  $[c, x] \cup [x, b] \in C_i$  and  $l([c, x] \cup [x, b]) < l(\alpha_i)$  and again we have a contradiction.

**C: The case**  $l[c, x] > l[a, x]$  and  $l[d, x] > l[a, x]$

Recall  $\alpha_k = [c, x] \cup [x, d]$ .

Let  $G$  and  $H$  be distinct components of  $F_k$  such that  $c \in G$  and  $d \in H$ . Since  $G \cap H = \emptyset$ ,  $a \notin G \cap H$ .

If  $a \notin G$  then  $[a, x] \cup [x, c] \in C_k$  and  $l([a, x] \cup [x, c]) < l(\alpha_k)$  contradicting our choice of  $\alpha_k$ .

If  $a \in G$  then  $a \notin H$ . Note  $[a, x] \cup [x, d] \in C_k$  and  $l([a, x] \cup [x, d]) < l(\alpha_k)$  and again we have a contradiction.

**D: The cases**  $(l[a, x] = l[c, x] \leq l[x, d])$  or  $(l[a, x] = l[d, x] \leq l[x, c])$ .

By symmetry we assume  $l[a, x] = l[c, x] \leq l[x, d]$ . If  $l[a, x] = l[b, x]$  then we have treated this case already.

Thus we may assume  $l[a, x] < l[b, x]$ .

It follows that  $l[c, x] < l[d, x]$  since otherwise  $l[b, x] = l[a, x]$  (since  $l(\alpha_i) \leq l(\alpha_k)$ ).

Thus we may assume  $l[c, x] < l([d, x])$ . Let  $G$  be the component of  $F_i$  such that  $a \in G$ .

Suppose  $c \notin G$ . Then  $[a, x] \cup [x, c] \in C_i$  and  $l([a, x] \cup [x, c]) < l(\alpha_i)$  contradicting our choice of  $\alpha_i$ .

Suppose  $c \in G$ . Then  $[a, x] \cup [x, d] \in C_k$  and  $l([a, x] \cup [x, d]) < l(\alpha_k)$  contradicting our choice of  $\alpha_k$ .  $\square$

**Remark 1.** By construction  $F_k$  has  $n - k + 1$  components, and since  $\alpha_{n-1}$  exists  $F_n = D_1 \cup \dots \cup D_n \cup \alpha_1 \cup \dots \cup \alpha_{n-1}$  is connected.

**7.2. Perturbing the arcs  $\{\alpha_i\}$ .** Appealing to section 7.1, starting with a closed topological PL disk  $P \subset R^2$  and pairwise disjoint closed PL cellular sets  $D_1, \dots, D_n$  (such that  $D_n \subset P$ ) we have obtained a sequence of closed arcs  $\alpha_1, \dots, \alpha_{n-1}$  such that  $\partial\alpha_n \subset \cup(\partial D_i)$  and  $\text{int}(\alpha_i) \subset P \setminus (\cup D_i)$  and such that  $D_1 \cup \dots \cup D_n \cup \alpha_1 \cup \dots \cup \alpha_{n-1}$  is cellular (since if  $A$  and  $B$  are disjoint cellular planar continua and  $\beta \subset R^2$  is a closed arc such that  $\partial\alpha \subset A \cup B$  and  $\text{int}(\alpha) \subset R^2 \setminus (A \cup B)$  and if  $A \cup \alpha \cup B$  is connected then  $A \cup \alpha \cup B$  is cellular). It is also the case that  $\alpha_i \cap \alpha_j$  is a closed initial segment of  $\alpha_i$  for all  $i$  and  $j$ .

**7.2.1. Perturbing the interiors of  $\{\alpha_i\}$ .** Our first task is to perturb the interiors of the open arcs  $\text{int}(\alpha_i)$  to be disjoint, and we need the following Lemma.

**Lemma 7.** For each  $i$  there exists  $z_i \in \text{int}(\alpha_i)$  such that  $z_i \notin \alpha_j$  for all  $j \neq i$ .

*Proof.* To obtain a contradiction suppose the Lemma is false. For some endpoint  $a \in \alpha_i$ , there exist  $j \neq i$  such that  $\alpha_i \cap \alpha_j \neq \emptyset$  and such that  $a \in \alpha_i \cap \alpha_j$ . Choose  $j$  such that  $\alpha_i \cap \alpha_j$  is a maximal initial segment of  $\alpha_i$  such that  $a \in \alpha_i \cap \alpha_j$ .

Let  $\alpha_i = [a, c]$ . Let  $\alpha_j = [a, d]$  with  $\alpha_i \cap \alpha_j = [a, b]$  and note  $b \in (a, c)$  since  $[a, b]$  is a proper initial segment of  $[a, c]$ . Obtain a sequence  $b_n \rightarrow b$  such that  $b_n \in (b, c]$ .

For each  $b_n$  obtain  $k_n \neq i$  such that  $b_n \in \alpha_{k_n}$ . Since we have only finitely many closed arcs  $\alpha_j$ , there exists  $k$  and  $N$  such that  $[b, b_N] \subset \alpha_k$ .

Recall  $\alpha_k \cap \alpha_i$  is a proper initial segment of  $\alpha_i$  and note  $a \notin \alpha_k \cap \alpha_i$  since otherwise  $l[a, b_N] > l[a, b]$  contradicting our choice of  $j$ .

Thus  $[b, c] \subset \alpha_k$  and recall  $k \neq i$ .

Let  $[x, c] = \alpha_k \cap \alpha_i$  with  $x \in [a, b]$ . Note  $x \neq a$  since otherwise  $\alpha_j \cap \alpha_i = \alpha_i$ , contradicting the fact that  $\alpha_i \cap \alpha_k$  is a proper subset of  $\alpha_i$ . Since  $a \in \alpha_j \setminus \alpha_k$ , we know  $[d, b] \subset \alpha_k$  and hence

$\alpha_j \cap \alpha_k = [x, d]$ . Thus  $x = b$  since otherwise  $\alpha_k$  is not a topological arc (since  $\alpha_k = [x, b] \cup [b, d] \cup [b, c]$ ). Thus  $\alpha_i \cup \alpha_j \cup \alpha_k$  is a triod, contradicting Lemma 2.  $\square$

For each  $i$ , select a nonempty open arc  $(w_i, v_i) \subset \text{int}(\alpha_i)$  such that  $[w_i, v_i] \cap \alpha_j = \emptyset$  if  $i \neq j$  and such that  $\alpha_i \setminus (w_i, z_i)$  is the union of two arcs.

Let  $\beta_1, \beta_2, \dots, \beta_{2(n-1)}$  denote the distinct arcs determined by  $\alpha_i \setminus (w_i, z_i)$ . Notice if  $\beta_i \cap \beta_j \neq \emptyset$ , then  $\beta_i$  and  $\beta_j$  share a common endpoint on  $\cup(D_i)$  (since  $\alpha_i \cap \alpha_j$  is does not disconnect  $\alpha_i$ ).

Moreover, since  $[w_i, v_i] \cap \alpha_j = \emptyset$   $\beta_j$  is not a subset of  $\beta_i$ . Consequently the arcs  $\beta_1, \dots, \beta_{2n}$  are canonically partitioned under the equivalence relation  $\beta_i \sim \beta_j$  if  $\partial\beta_i \cap \partial\beta_j \neq \emptyset$ .

Rename the arcs  $\beta_i$  in format  $\beta_k^i$  such that  $\{e_k\} \in (\cup D_i) \cap (\cap \beta_k^i)$ . Thus  $e_k$  is the common endpoint in a given equivalence class.

By Lemma 5, for each  $k$ , the union of the arcs  $\cup \beta_k^i$  is a tree.

Let  $h : P \rightarrow P$  be a tiny homeomorphism fixing  $\cup D_i$  pointwise and mapping  $P \setminus (\cup D_i)$  into  $\text{int}(P)$ .

Notice  $\text{int}(h(\beta_k^i)) \subset \text{int}(P)$ . Consequently, (since  $h(\beta_i) \cap h(\beta_i) = \emptyset$  or  $h(\beta_i) \cap h(\beta_i)$  is a final segment of each arc) in similar fashion to the proof of Theorem 3, it is apparent we can perturb, arbitrarily nearby, the interiors  $\text{int}(\beta_k^i)$  while keeping fixed the endpoints  $\partial\beta_k^i$ , creating new arcs  $\beta_k^{*i}$  such that  $\text{int}(\beta_k^{*i}) = \text{int}(\beta_l^{*j}) = \emptyset$  unless  $k = l$  and  $i = j$ . We can also require that  $\text{int}(\beta_k^{*j}) \cap (v_i, w_i) = \emptyset$  for all  $k, j, i$ .

Now, to obtain perturbations of the interiors of the original arcs  $\{\alpha_i\}$ , we assemble a perturbation of  $\alpha_i$  as the union of the 3 arcs,  $[w_i, v_i]$  and the corresponding arcs  $\beta_k^{*j}$  such that  $w_i \in \beta_k^{*j}$ , and  $\beta_l^{*t}$  such that  $v_i \in \beta_k^{*j}$  and we let  $\alpha_i^* = \beta_k^{*j} \cup [w_i, v_i] \cup \beta_l^{*t}$ .

In this fashion we can perturb the arcs  $\{\alpha_i\}$  and obtain arcs  $\alpha_1^*, \dots, \alpha_{n-1}^*$  such that  $\text{int}(\alpha_i^*) \cap \text{int}(\alpha_j^*) = \emptyset$ , and  $l(\alpha_i^*) < 2\varepsilon$  and such that  $\partial\alpha_i = \partial\alpha_i^*$ .

**7.2.2. Perturbing the endpoints of  $\{\alpha_i^*\}$ .** Recall we have arcs  $\alpha_1^*, \dots, \alpha_{n-1}^*$  such that  $\text{int}(\alpha_i^*) \cap \text{int}(\alpha_j^*) = \emptyset$ , and  $l(\alpha_i^*) < 2\varepsilon$  and such that  $\partial\alpha_i^* \subset (\cup D_i)$ .

To perturb the arcs  $\{\alpha_i^*\}$  to be disjoint, let  $\{e_1, \dots, e_m\} = \cup \partial\alpha_i^*$ .

Thus  $e_k \in D_i \setminus \text{int}(D_i)$ .

For each  $e_k$  we may select a small open set  $U_k \subset P$  such that  $e_k \in U_k$  and such that  $(\cup(\alpha_i^*)) \cap \overline{U}$  is a tree consisting of finitely many arcs  $\beta_k^{*1}, \dots, \beta_k^{*n_k}$  intersecting such that  $\beta_k^{*i} \cap \beta_k^{*j} = e_k$ .

Let  $\partial\beta_k^{*i} = \{e_k^i, b_k^i\}$  such that  $e_k^i = e_k$  for all  $i$ .

It is topologically apparent the arcs  $\{\beta_k^{*i}\}$  admit arbitrarily small perturbations in  $\overline{U}$  (fixing  $b_k^i$ ) into pairwise disjoint arcs  $\beta_k^{**i}$  such that  $\text{int}(\beta_k^{**i}) \subset \text{int}(P)$  and such that  $e_k^{**i} \in \cup(D_i)$ .

As before, we assemble a perturbation  $\alpha_i^{**}$  of  $\alpha_i^*$  as the union of 3 arcs, a large closed subarc of  $\text{int}(\alpha_i^*)$  and the two perturbations of the ends  $\beta_k^{**i} \cup \beta_l^{**j}$ .

Now we have pairwise disjoint arcs  $\alpha_i^{**}$  such that  $l(\alpha_i) < 2\varepsilon$ . Moreover  $\cup(D_i) \cup \alpha_1^{**} \dots \cup \alpha_{n-1}^{**}$  is a nonseparating planar continuum as seen in the following Lemma.

**Lemma 8.** *Recall the arcs  $\alpha_1, \dots, \alpha_{n-1}$  selected by our algorithm in the PL disk  $P$  such that  $\alpha_i^{**}$  connects components of  $\cup D_i$ . Suppose we replace each arc  $\alpha_i$  by an arc  $\alpha_i^{**}$  such that  $\alpha_i^{**} \cap \alpha_j^{**} = \emptyset$  and such that  $\alpha_i$  and  $\alpha_i^{**}$  connect the same components of  $\cup D_i$ . Then  $\cup D_i \cup \alpha_1^{**} \dots \cup \alpha_{n-1}^{**}$  is a nonseparating planar continuum.*

*Proof.* This follows by induction. By definition each component of  $\cup D_i$  is cellular. Suppose each component of  $\cup D_i \cup \alpha_1^{**} \dots \cup \alpha_{k-1}^{**}$  is cellular. By construction the arc  $\alpha_k^{**}$  connects distinct components cellular components  $D$  and  $E$  of  $\cup D_i \cup \alpha_1^{**} \dots \cup \alpha_{k-1}^{**}$  such that  $\alpha_k^{**} \cap (D \cup E \cup \alpha_1^{**} \dots \cup \alpha_{k-1}^{**}) = \partial\alpha_k^{**}$ . It is topologically apparent that  $D \cup \alpha_k^{**} \cup E$  is cellular.  $\square$

**7.2.3. Pushing  $\{\alpha_i^{**}\}$  off of  $\{\gamma_j\}$ .** We assume  $D_i$  is the union of a PL disk  $E_i$  and finitely many pairwise disjoint arcs  $\gamma_i^1, \dots, \gamma_i^{n_i}$  such that  $E_i \cap \gamma_i^j = z_{ij} \in \partial\gamma_i^j$ .

Suppose  $\delta > 0$  such that  $l(\gamma_i^j) < \delta$ . We assume that  $E_i \subset \text{int}(P)$  and that  $\text{int}(\gamma_i^j) \subset \text{int}(P)$  and  $\partial\gamma_i^j \setminus \{z_{ij}\} \subset \partial P$ .

Recall we have pairwise disjoint arcs  $\alpha_i^{**}$  such that  $l(\alpha_i) < 2\varepsilon$  and  $\cup(D_i) \cup \alpha_1^{**} \dots \cup \alpha_{n-1}^{**}$  is a nonseparating planar continuum.

Unfortunately it is possible that  $\partial\alpha_k^{**} \cap \gamma_i^j \neq \emptyset$  and we ultimately require that the intersection is empty.

Thus the task at hand is to slide the arcs  $\alpha_k^{**}$  off of the arcs  $\{\gamma_i^j\}$ .

Unlike the previous perturbations ( $\alpha_i^*$  and  $\alpha_i^{**}$  could be chosen arbitrarily close to  $\alpha_i$ ) each end of  $\alpha_i^{**}$  might have to move by an amount  $\delta$ .

To see how to move the arcs  $\alpha_i^{**}$ , first notice if  $\alpha_i^{**} \cap \gamma_j \neq \emptyset$ , then  $\{z_i\} = \alpha_i^{**} \cap \gamma_j$  where  $z_i \in \partial\alpha_i^{**}$ .

Since each  $D_i$  is the union of a disk  $E_i$  with arcs  $\gamma_i^j$  attached to  $\partial E_i$ , for each  $\gamma_i^j$  we can select pairwise disjoint sets  $U_i^j$  (open in  $P$ ) such that  $\gamma_i^j \subset U_i^j$ , such that  $\overline{U_i^j} \cap (\gamma_i^j \cup E_i)$  is a topological triod (and in particular  $\overline{U_i^j} \cap E_i \subset \partial E_i$ ), and such that if  $\alpha_k^{**} \cap U_i^j \neq \emptyset$ , then  $\alpha_k^{**} \cap U_i^j$  is connected.

We can also demand that  $\overline{U_i^j}$  is a PL topological disk, and that for each  $x \in \overline{U_i^j} \setminus \gamma_i^j$  there exists a path in  $\overline{U_i^j} \setminus \gamma_i^j$  of length less than  $\delta$  connecting  $x$  to  $\partial E_i \setminus \gamma_i^j$ .

By construction, if  $\alpha_k^{**} \cap U_i^j \neq \emptyset$  then  $\alpha_k^{**} \cap U_i^j$  is an arc with precisely one end point  $a_{kij} \in \gamma_i^j$  and the other endpoint  $b_{kij} \in \partial U_i^j \setminus (\cup D_i)$ . Hence, working entirely within  $U_i^j$ , we can replace  $\alpha_k^{**} \cap U_i^j$  with an arc  $\beta_{ki}^j \subset U_i^j$  such that  $l(\beta_{ki}^j) < \delta$  and such that  $b_{kij} \in \partial\beta_{ki}^j$  and such that the other endpoint of  $\beta_{ki}^j$  is on  $\partial E_i$  and such that  $\text{int}(\beta_{ki}^j) \cap (\cup D_i) = \emptyset$ . It is apparent we can preserve the disjointness property.

Ultimately this procedure replaces the arcs  $\alpha_k^{**}$  by arcs  $\alpha_k^{***}$ , the union of 3 segments  $\alpha_k^{***} = (\alpha_k^{**} \setminus (\beta_{ki}^j \cup \beta_{kl}^t)) \cup \beta_{ki}^j \cup \beta_{kl}^t$ .

By construction  $l(\alpha_k^{***}) < 2\varepsilon + 2\delta$ , and  $\partial\alpha_k^{***} \subset \cup E_i$ , and  $\text{int}(\alpha_k^{***}) \subset P \setminus (\cup D_i)$ , and  $\alpha_i^{***} \cap \alpha_j^{***} = \emptyset$  if  $i \neq j$ , and  $(\cup E_i) \cup \alpha_1^{***} \cup \dots \cup \alpha_{k-1}^{***}$  is a cellular planar continuum (since  $\partial\alpha_i^{**}$  and  $\partial\alpha_i^{***}$  connect the same components of  $\cup D_i$ ).

## 8. INGREDIENTS FOR PROOF OF THEOREM 10

**8.1. Standard planar Peano continua.** We clarify the structure of planar Peano continua and observe that any planar Peano continuum  $Y$  is homotopy equivalent to a ‘thicker’ planar Peano continuum  $X$  so that the components of  $R^2 \setminus X$  have simple closed curve boundaries. However, the closure of this null sequence of circles (in some sense the ‘boundary’ of  $X$ ) need not have locally path connected components. Similar observations are made in Theorem 2.4.1 [4].

**Remark 2.** *If  $X \subset R^2$  then  $X$  is a Peano continuum if and only if the components  $\{U_n\}$  of  $(R^2 \cup \{\infty\}) \setminus X$  form a null sequence of simply connected open sets with locally path connected boundary. (On the one hand if  $X$  is a Peano continuum then each of the components of  $R^2 \setminus X$  is open (since  $X$  is compact) and simply connected (since otherwise  $X$  fails to be connected) and  $\{U_n\}$  is a sequence (since  $R^2$  is separable and open sets have at most countably many components) and  $\text{diam}(U_n) \rightarrow 0$  (since otherwise we can select a subsequence of large subcontinua  $Z_n \subset U_n$ , converging in the Hausdorff metric to a large subcontinuum  $Z \subset X$  and there exists  $z \in Z$  such that local connectivity of  $X$  fails) and  $\partial U_n$  is locally path connected (since  $R^2 \setminus U_n$  is locally path connected). Conversely if  $X$  enjoys all of the above properties then  $X$  is compact (since  $\cup U_n$  is open), and connected (since each  $U_n$  is open and simply connected), and locally path connected (since for large  $n$ , there is a small retract from  $\overline{U_n} \setminus u_n$  onto  $\partial U_n$ , and if  $\{x, y\} \subset X$ , the short segment  $[x, y]$  can be modified (replacing components of  $[x, y] \cap U_n$  with the image in  $\partial U_n$  under the retraction) creating a small path in  $X$  from  $x$  to  $y$ ).*

Recall if  $X \subset R^2$  is a 2 dimensional Peano continuum then  $\text{int}(X)$  is the maximal set  $U \subset X$  such that  $U$  is open in  $R^2$  and recall the frontier  $Fr(X) = X \setminus \text{int}(X)$ .

It is tempting to conclude that each component of  $Fr(X)$  is locally path connected. However this is generally false as seen in the following example (see also [4] [12]).

**Example 1.** *Let  $Z$  be any 1 dimensional nonseparating planar continuum such that  $Z$  is not locally path connected. Manufacture a null sequence of pairwise disjoint simple closed curves  $C_n \subset R^2 \setminus Z$  such that  $\overline{\bigcup C_n} = Z \cup (\bigcup C_n)$  (and such that each  $C_n$  is an isolated subspace of the compactum  $Z \cup (\bigcup C_n)$ ). Let  $U_n$  denote the bounded component of  $R^2 \setminus C_n$  and define  $X = R^2 \setminus (\bigcup U_n)$ . Then  $X$  is a Peano continuum (since the components of  $(R^2 \cup \{\infty\}) \setminus X$  form a null sequence of simply connected open sets with locally connected boundary) however  $Z$  is a component of  $Fr(X)$  and  $Z$  is not locally path connected.*

It will prove useful to obtain a canonical form (up to homotopy equivalence) for planar Peano continua.

**Definition 2.** *Suppose  $X \subset R^2$  is a 2 dimensional Peano continuum. Then  $X$  is **standard** if for each component  $U \subset R^2 \setminus X$ ,  $\partial U$  is a round Euclidean circle such that  $\partial U$  is isolated in  $Fr(X)$ .*

**Lemma 9.** *Suppose  $Y \subset R^2$  is a Peano continuum. Then there exists a standard Peano continuum  $X$  such that  $Y \subset X$  and  $Y$  is a strong deformation retract of  $X$ . In particular  $Y$  is homotopy equivalent to  $X$ .*

*Proof.* Since  $R^2$  is separable, the open subspace  $R^2 \setminus X$  has at most countably many components. Moreover each component  $U \subset R^2 \setminus X$  is simply connected, since  $X$  is connected. Let  $\{U_n\}$  denote the simply connected components of  $R^2 \setminus Y$ . Note, for each simply connected  $U_n$ ,  $\partial U_n$  is locally path connected. Select  $u_n \in U_n$  and note  $\partial U_n$  is a strong deformation retract of  $U_n \setminus \{u_n\}$ . In particular we can select a simple closed curve  $C_n \subset U_n$  approximating  $\partial U_n$  (let  $C_n$  denote the image of a large round circle  $S_n \subset \text{int}(D^2)$  under a Riemann map  $\bar{\phi} : \text{int}(D^2) \rightarrow \overline{U_n}$ ). Let  $A_n \subset \overline{U_n}$  denote the closure of the open annulus bounded by  $C_n$  and  $\partial U_n$ . Note we have a strong deformation from  $A_n$  onto  $\partial U_n$  with small trajectories under the homotopy. Let  $Y = X \cup (\bigcup A_n)$ . Since  $X$  is a Peano continuum,  $\text{diam}(U_n) \rightarrow 0$ . The space  $Y$  is a Peano continuum by Remark 2. Moreover, since  $\text{diam}(A_n) \rightarrow 0$ , the union of the deformation retracts from  $A_n \rightarrow \partial U_n$  determines that  $X$  is a strong deformation retract of  $Y$ . By construction  $Y$  is standard.  $\square$

**Lemma 10.** *Suppose  $X \subset R^2$  is a standard Peano continuum and  $V \subset R^2 \setminus X$  is the union of some bounded components of  $R^2 \setminus X$ . Then  $X \cup V$  is a standard Peano continuum.*

*Proof.* Let  $Y = X \cup V$ . Then if  $u \in R^2 \setminus Y$  then there exists a component  $U \subset R^2 \setminus X$  such that  $u \in U$ . Thus  $Y$  is compact and it follows from Remark 2 that  $Y$  is a Peano continuum whose complementary domain boundaries form a null sequence of circles  $S_1, S_2, \dots$

Recall  $S_n$  is isolated in  $X \setminus \text{int}(X)$ . To see that  $S_n$  is isolated in  $Y \setminus \text{int}(Y)$ , note  $V \subset \text{int}(Y)$  and thus  $Y \setminus \text{int}(Y) \subset X \setminus \text{int}(X)$ .  $\square$

**8.2. Building and recognizing homotopic maps.** Notice if  $\alpha$  and  $\beta$  are two unbased inessential loops in  $R^2 \setminus \{(0, 0)\}$  such that  $\text{diam}(\alpha) < \varepsilon$  and  $\text{diam}(\beta) < \varepsilon$  then  $\text{im}(\alpha) \cup \text{im}(\beta)$  might have large diameter. However if  $\alpha$  and  $\beta$  are homotopic



essential loops then we are guaranteed a small homotopy between  $\alpha$  and  $\beta$  since both loops must stay near the ‘hole’ at  $(0, 0)$ . (See also Theorem 2.1 [4] )

The foregoing example illustrates a more general phenomenon captured by the following 2 Lemmas.

Our proof of Lemma 11 includes both a direct argument and a backhanded proof exploiting the nontrivial fact that the fundamental group of a planar Peano continuum  $Z$  injects into the inverse limit of free groups (determined by  $Z$  as the nested intersection of a sequence open planar sets).

**Lemma 11.** *Suppose  $Y \subset R^2$  is any set and  $\alpha, \beta : S^1 \rightarrow Y$  are essential homotopic loops such that  $\text{diam}(\text{im}(\alpha)) < \varepsilon$  and  $\text{diam}(\text{im}(\beta)) < \varepsilon$ . Then  $\text{diam}(\text{im}\alpha \cup \text{im}\beta) < 2\varepsilon$ .*

*Proof.* Note  $\text{im}(\alpha)$  is a Peano continuum. Let  $Z_\alpha$  denote the union of  $\text{im}(\alpha)$  and those components  $U \subset R^2 \setminus \text{im}(\alpha)$  such that  $U \cap Y = \emptyset$ . Then  $Z_\alpha \subset Y$  and  $Z_\alpha$  is a Peano continuum ( by Lemma 10). Since  $Z_\alpha \subset Y$  it follows that  $\alpha$  is essential in  $Z_\alpha$ . Note  $\text{diam}(Z_\alpha) < \varepsilon$ . For each bounded component  $V \subset R^2 \setminus Z_\alpha$  select a point  $z_V \in V \setminus Y$  to obtain a set  $E \subset R^2 \setminus (Z_\alpha \cup Y)$ . Note  $Y \subset R^2 \setminus E$ . Thus  $\alpha$  and  $\beta$  are homotopic in  $R^2 \setminus E$ .

To see that  $\alpha$  is essential in  $R^2 \setminus E$  it suffices to prove that  $Z_\alpha$  is a strong deformation retract of  $R^2 \setminus E$ . (For each bounded component  $V \subset R^2 \setminus Z_\alpha$ , notice  $\overline{V} \setminus z_V$  can be deformation retracted onto  $\partial V$ , and since the components of  $R^2 \setminus Z_\alpha$  determine a null sequence it follows, taking the union of the *SDRs*, that  $Z_\alpha$  is a strong deformation retract of  $R^2 \setminus E$ ).

(Alternately we can obtain a finite set  $E_n \subset E$  such that  $\alpha$  is essential in  $R^2 \setminus E_n$  as follows. Obtain PL nested compact polyhedra  $\dots P_3 \subset P_2 \subset P_1$  such that  $Z_\alpha \subset \bigcap_{n=1}^\infty P_n$ . Inclusion determines a canonical homomorphism  $\phi : \pi_1(Z_\alpha) \rightarrow \lim_{\leftarrow} \pi_1(P_n)$ , it is a nontrivial fact that  $\phi$  is injective (established more generally for planar sets [8]). Thus there exists  $N$  such that  $\alpha$  is essential in  $P_N$  and such that  $\text{diam}(P_N) < \varepsilon$ , and now select a point from each bounded component of  $R^2 \setminus P_N$  to obtain  $E_N$ ).

Recall  $\alpha$  and  $\beta$  are essential homotopic loops in  $R^2 \setminus E$  and  $\text{diam}(E) < \varepsilon$ . Let  $B \subset R^2$  denote the convex hull of the set  $E$ . Note  $\text{diam}(B) = \text{diam}(E)$ . It is apparent that  $B \cap \text{im}(\beta) \neq \emptyset$ , (since otherwise  $\beta$  would be inessential in  $R^2 \setminus E$ ). Thus  $\text{diam}(\text{im}\alpha \cup \text{im}\beta) < 2\varepsilon$ .  $\square$

**Lemma 12.** *Suppose  $Y$  is a planar set,  $\varepsilon > 0$ , and  $A$  denotes the closed annulus  $S^1 \times [0, 1]$ . Suppose  $h : A \rightarrow Y$  is a map such that  $\text{diam}(h(\partial A)) < \varepsilon$ . Then there exists a map  $H : A \rightarrow Y$  such that  $\text{diam}(H(A)) < \varepsilon$  and  $h_{\partial A} = H_{\partial A}$ . Suppose  $\alpha : S^1 \rightarrow Y$  is inessential and suppose  $\text{diam}(\text{im}(\alpha)) < \varepsilon$ . Then there exists a map  $\beta : D^2 \rightarrow Y$  such that  $\beta_{S^1} = \alpha$  and  $\text{diam}(\text{im}(\beta)) < \varepsilon$ .*

*Proof.* Let  $U$  be the unbounded component of  $R^2 \setminus h(\partial A)$ . Note  $\text{diam}(P) < \varepsilon$  and  $P$  is a simply connected Peano continuum and hence there exists a retract  $R : R^2 \rightarrow P$  such that  $R_P = \text{id}_P$ . Let  $H = R(h)$ .

Let  $V$  be the unbounded component of  $R^2 \setminus \text{im}(\alpha)$ . Let  $Q = R^2 \setminus V$ . Then  $Q$  is a simply connected Peano continuum and hence there exists a retract  $r : R^2 \rightarrow Q$ . Let  $\gamma : D^2 \rightarrow Y$  be any map extending  $\alpha$  and let  $\beta = r(\gamma)$ .  $\square$

The following elementary Lemma is essentially the Alexander Trick and ensures we can canonically adjust a map of a disk while keeping half the boundary unadjusted.

**Lemma 13.** *Suppose  $Y$  is any metric space and  $D$  is a topological disk and  $p \in \partial D$  and  $\gamma \subset \partial D$  is closed arc such that  $p \notin \gamma$ . Suppose  $f : D \rightarrow Y$  is a map. Suppose  $\alpha : [0, 1] \rightarrow Y$  is a path connecting  $f(p)$  and  $q \in Y$ . Then there exists a map  $g : D \rightarrow Y$  such that  $g(p) = q$  and  $g_\gamma = f_\gamma$  and there exists a homotopy from  $f$  to  $g$  such that the trajectories in  $Y$  under the homotopy have diameter bounded by  $\text{diam}(\text{im}(\alpha) \cup \text{im}(f))$ . Moreover  $\text{diam}(g(D)) \leq \text{diam}(f(D) \cup \text{im}(\alpha))$ .*

*Proof.* We may assume  $D \subset \mathbb{R}^2$  is the closed upper half disk of radius 1 centered at  $(0, 0) = p$ , and  $\gamma \subset \partial D$  is the semicircle of radius 1.

Let  $E \subset \mathbb{R}^2$  denote the closed unit disk. Define  $F : E \rightarrow Y$  so that  $F(x, -y) = f(x, y)$ . Notice for each  $z_\theta \in \partial E$  there is a canonical path in  $Y$  connecting  $f(z_\theta)$  and  $q$ , (we let  $\beta_\theta$  denote the radial segment connecting  $z_\theta$  and  $(0, 0)$  and we let  $\gamma_\theta = f(\beta_\theta) * \alpha$ ).

Define  $G : E \rightarrow Y$  so that  $G$  maps  $\beta_\theta \subset E$  linearly onto  $\gamma_\theta \subset Y$ . Let  $\alpha_s$  denote a homotopy in  $Y$  from  $p$  to  $\alpha$  so that  $\text{im}(\alpha_s) \subset \text{im}(\alpha)$ .

For  $s \in [0, 1]$  define  $\beta_\theta^1(s)$  and  $\beta_\theta^2(s)$  so that  $\beta_\theta = \beta_\theta^1(s) * \beta_\theta^2(s)$  (concatenated segments varying linearly with  $s$ ) so that  $\beta_\theta^1(0) = \beta_\theta$  and  $\beta_\theta^2(0) = (0, 0)$ . To obtain a homotopy from  $F$  to  $G$  let  $F_s$  map  $\beta_\theta^1(s) * \beta_\theta^2(s)$  onto  $f(\beta_\theta) * \alpha_s$  ‘homomorphically’. Let  $g = G_D$  and let  $f_s = F_{sD}$ .  $\square$

**Theorem 6.** *Suppose  $f : X \rightarrow Y$  is a map of a standard Peano continuum  $X$  and suppose  $(Y, d)$  is any metric space. Suppose  $\{x_n\}$  is a sequence of distinct points in  $X$  (and each  $x_n$  belongs to some isolated boundary circle  $C_m \subset \text{Fr}(X)$  and each boundary circle contains at most finitely many of  $\{x_n\}$ ) and suppose  $y_n$  is a sequence in  $\text{im}(f) \subset Y$  and suppose  $d(f(x_n), y_n) \rightarrow 0$ . Then there exists a map  $\hat{f} : X \rightarrow Y$  such that  $\hat{f}$  is homotopic to  $f$  and  $\hat{f}(x_n) = y_n$ .*

*Proof.* Since  $\text{im}(f)$  is a Peano continuum, there exists a null sequence of paths  $\alpha_n : [0, 1] \rightarrow \text{im}(f)$  connecting  $f(x_n)$  and  $y_n$ . Select a null sequence of pairwise disjoint closed topological disks  $\{D_n\}$  such that  $x_n \in D_n \subset X$  and such that  $(\partial D_n) \cap \text{Fr}(X)$  is a nontrivial arc containing  $x_n$  in its interior. Let  $\gamma_n = \partial D_n \setminus (\text{int}((\partial D_n) \cap \text{Fr}(X)))$ . Let  $\hat{f}$  and  $f$  agree over the set  $X \setminus (\cup \text{int}(D_n))$ . Apply Lemma 13 to the data  $(D_n, \gamma_n, x_n, \alpha_n)$ , and sew together the resulting maps to obtain  $\hat{f}$ .  $\square$

**Lemma 14.** *Suppose  $f, g : X \rightarrow Y$  are maps of a standard planar Peano continuum  $X$  into the metric space  $Y$ . Suppose for each isolated circle  $C_n \subset \text{Fr}(X)$  there exists  $x_n \in C_n$  such that  $f(x_n) = g(x_n)$ . Suppose  $f_* = g_*$  and  $f_*, g_* : \pi_1(X, x_1) \rightarrow \pi_1(Y, f(x_1))$  are the induced homomorphisms. Suppose  $f(C_n)$  is essential in  $Y$  for each isolated circle  $C_n \subset \text{Fr}(X)$ . Then there exists a map  $\hat{f} : X \rightarrow Y$  such that  $\hat{f}$  is homotopic to  $f$  and  $\hat{f}_{\text{Fr}(X)} = g_{\text{Fr}(X)}$ .*

*Proof.* Since  $f_* = g_*$ , for each  $C_n$  the loops  $f_{C_n}$  and  $g_{C_n}$  are essential in  $Y$  and path homotopic in  $Y$ . It follows from Lemma 11 that  $\lim_{n \rightarrow \infty} \text{diam}_{n \rightarrow \infty}((f(C_n)) \cup g(C_n)) \rightarrow 0$ .

It follows from Lemma 12 that there exists a path homotopy connecting  $f(C_n)$  and  $g(C_n)$  so that the image of the path homotopy has diameter bounded by  $\text{diam}((f(C_n)) \cup g(C_n)) + \frac{1}{2^n}$ .

Since  $X$  is a standard Peano continuum, we may select pairwise disjoint closed round disks  $D_n \subset \mathbb{R}^2$  such that  $C_n \subset D_n$ , such that  $C_n \cap \partial D_n = \{x_n\}$  and such that  $\text{diam}(D_n) \rightarrow 0$ .

Note the based loops  $f_{\partial D_n}, f_{C_n}$  and  $g_{C_n}$  are homotopic (fixing  $x_n$  throughout), and By Lemma 12 for large  $n$  the homotopies can be chosen to be small.

In particular, in the pinched annulus  $A_n$  bounded by  $C_n \cup \partial D_n$  we can define  $\hat{f} : A_n \rightarrow Y$  so that  $\hat{f}_{\partial D_n} = f_{\partial D_n}$ , and so that  $\hat{f}_{C_n} = g_{C_n}$  and so that  $\text{diam}(\hat{f}(A_n)) \rightarrow 0$ . Let  $f$  and  $\hat{f}$  agree on the set  $X \setminus (\cup \text{int}(A_n))$  and we have obtained the desired map  $\hat{f}$ .  $\square$

**Lemma 15.** *Suppose  $X \subset R^2$  is a standard Peano continuum, suppose  $Y$  is any metric space and  $f : X \rightarrow Y$  a map. Suppose  $U \subset R^2 \setminus X$  is the union of those bounded components  $\{U_n\} \subset R^2 \setminus X$  such that  $f_{\partial U_n}$  is inessential in  $Y$ . Let  $Z = X \cup U$ . Then  $Z$  is a standard Peano continuum and there exists a map  $F : Z \rightarrow Y$  such that  $F_X = f$  and  $F_{C_n}$  is essential for all map isolated circles  $C_n \subset \text{Fr}(Z)$ .*

*Proof.* By Lemma 10 that  $Z$  is a standard Peano continuum.

For each component  $U_n \subset U$ , the unbased loop  $f_{\partial U_n}$  inessential in  $Y$ , and thus there exists an extension  $F_{\overline{U_n}} \rightarrow Y$  such that  $F_{\partial U_n} = f_{\partial U_n}$ . By Lemma 12 we can also require that  $\text{diam}(F(U_n)) \leq \text{diam}(f(\partial U_n))$ . Let  $F$  and  $f$  agree over  $X$ , since  $\text{diam}(U_n) \rightarrow 0$  and since  $f$  is uniformly continuous on  $X$ , it follows that  $\text{diam}(f(U_n)) \rightarrow 0$  and hence the extension  $F$  is continuous. By construction  $f_{C_n} = F_{C_n}$  for all isolated circles  $C_n \subset \partial \text{Fr}$  and hence  $F_{C_n}$  is essential in  $Y$ .  $\square$

**Lemma 16.** *Suppose  $X \subset R^2$  is a standard Peano continuum, suppose  $Y$  is any metric space and  $p \in X$  and  $f, g : X \rightarrow Y$  are maps such that  $f(p) = g(p)$  and  $f_* = g_*$  and  $f_* : \pi_1(X, p) \rightarrow \pi_1(Y, f(p))$  is the induced homomorphism. Suppose  $U \subset R^2 \setminus X$  is the union of those bounded components  $\{U_n\} \subset R^2 \setminus X$  such that  $f_{\partial U_n}$  is inessential in  $Y$ . Suppose  $Z = X \cup U$  and suppose the maps  $F, G : Z \rightarrow Y$  satisfy  $F_X = f$  and  $G_X = g$ . Then  $G_* = F_*$  (and  $F_* : \pi_1(Z, p) \rightarrow \pi_1(Y, f(p))$  denotes the induced homomorphism).*

*Proof.* Suppose  $\alpha : [0, 1] \rightarrow Z$  is a loop based at  $p$ . By Lemma 10  $Z$  is a Peano continuum. For each component  $U_n \subset U$  and each component  $J \subset \alpha^{-1}(U_n)$  replace  $\alpha_J$  by  $\beta_J$  such that  $\beta_J$  is path homotopic to  $\alpha_J$  in  $Z$  and such that  $\text{im}(\beta_J) \subset \partial U_n$  and such that  $\text{diam}(\text{im}(\alpha_J)) = \text{diam}(\text{im}(\beta_J))$ . Let  $\beta = \cup_J \alpha_{([0, 1] \setminus \cup J)} \cup \beta_J$ .

Since the collection of all such open arcs  $J$  is a null sequence of intervals in  $[0, 1]$ , and since  $\alpha$  is uniformly continuous, the homotopies connecting  $\alpha_J$  to  $\beta_J$  can be chosen to be small, and the union of the homotopies determines that  $\alpha$  and  $\beta$  are path homotopic in  $Z$ . Thus  $F(\alpha)$  and  $F(\beta)$  are path homotopic in  $Y$  and  $G(\alpha)$  and  $G(\beta)$  are path homotopic in  $Y$ .

Note  $f(\beta) = F(\beta)$  and  $g(\beta) = G(\beta)$  and, (since  $f_* = g_*$ ),  $f(\beta)$  and  $g(\beta)$  are path homotopic in  $Y$ . Thus  $F(\alpha)$  and  $G(\alpha)$  are path homotopic in  $Y$ .  $\square$

**Lemma 17.** *Suppose  $X$  is a planar continuum and  $Y \subset R^2$  is any planar set and  $Z \subset X$  is a continuum and  $f, g : X \rightarrow Y$  are maps such that  $f_Z = g_Z$ . Then  $f$  and  $g$  are homotopic if both the following conditions hold 1) Each bounded component  $U \subset X \setminus Z$  is an open Jordan disk. (i.e.  $\overline{U} \setminus U$  is a simple closed curve) and 2) If  $X \setminus Z$  has infinitely many components  $U_1, U_2, \dots$  then  $\text{diam}(U_n) \rightarrow 0$ .*

*Proof.* For each bounded component  $U \subset X \setminus Z$  select embeddings  $h_u : \overline{U} \hookrightarrow \partial B^3$  and  $h_l : \overline{U} \rightarrow \partial B^3$  mapping  $\overline{U}$  respectively onto the upper and lower hemispheres of the 2-sphere. Glue the maps together to obtain a map of the 2-sphere  $j_U = f(h_u^{-1}) \cup g(h_l^{-1}) : \partial B^3 \rightarrow Y$ . Since planar set are aspherical [3],  $j_U$  is the restriction of a map  $J_U : B^3 \rightarrow \text{im}(j_U)$ . The map  $J_U$  determines a homotopy  $\phi_U^t$  between  $f_{\overline{U}}$  and  $g_{\overline{U}}$  and the image of the homotopy lies in  $\text{im}(f_{\overline{U}}) \cup \text{im}(g_{\overline{U}})$ . If  $X \setminus Z$  has

finitely many components then we take the union of the homotopies. Since each of  $f$  and  $g$  are uniformly continuous (since  $X$  is compact), and since  $\text{diam}(U_n) \rightarrow 0$ , it follows that the union of the homotopies  $f_z \cup (\cup_n \phi_{U_n}^t)$  determines a global homotopy between  $f$  and  $g$ .  $\square$

**Lemma 18.** *Suppose  $X \subset R^2$  is a 2 dimensional continuum and  $\beta_1, \beta_2, \dots$  is null sequence of closed arcs such that  $\text{int}(\beta_n) \subset \text{int}(X)$ , and  $\partial\beta_n \subset \text{Fr}(X)$  and such that  $\text{int}(\beta_n) \cap \text{int}(\beta_m) = \emptyset$  if  $n \neq m$ . Suppose  $Y$  is a planar set. Suppose  $f, g : X \rightarrow Y$  are maps such that  $f_{\text{Fr}(X)} = g_{\text{Fr}(X)}$ . Suppose  $p \notin \cup \beta_n$  and  $f(p) = g(p)$ . Suppose  $f_* = g_*$  and  $f_* : \pi_1(X, p) \rightarrow \pi_1(Y, f(p))$  is the induced homomorphism. Let  $Z = \text{Fr}(X) \cup (\cup \beta_n)$ . Then there exists a map  $\hat{f} : X \rightarrow Y$  such that  $\hat{f}$  is homotopic to  $f$  and  $\hat{f}_Z = g_Z$ .*

*Proof.* ‘Thicken’ each  $\beta_n$  into a closed topological disk  $D_n$  (such that  $p \notin D_n$ ) and  $\text{int}(\beta_n) \subset \text{int}(D_n) \subset \text{int}(X)$ , and  $D_n \cap \text{Fr}(X) = \partial\beta_n$ , and if  $n \neq m$  then  $D_n \cap D_m \subset \partial\beta_n \cup \partial\beta_m$ , and  $\text{diam}(D_n) \rightarrow 0$ . Since  $f_* = g_*$  it follows that  $f_{\beta_n}$  and  $g_{\beta_n}$  are path homotopic in  $Y$  (fixing  $\partial\beta_n$  throughout the homotopy).

Note  $\beta_n$  is a strong deformation retract of  $D_n$  and if (the topological semicircle)  $\gamma$  is the closure of either component of  $(\partial D_n) \setminus (\partial\beta_n)$  then  $f_\gamma$  is path homotopic to  $f_{\beta_n}$ . Consequently we can define  $\hat{f}_{D_n} : D_n \rightarrow Y$  so that  $\hat{f}_{\partial D_n} = f_{\partial D_n}$  and so that  $\hat{f}_{\beta_n} = g_{\beta_n}$ . By Lemma 12 we can also arrange  $\text{diam}(\hat{f}(D_n)) < \text{diam}(f(\partial D_n) \cup g(\beta_n))$ .

Now sew together two copies of  $D_n$  joined along  $\partial D_n$  and notice  $\hat{f}_{D_n} \cup f_{D_n} : S^2 \rightarrow Y$  determines a map of the 2 sphere into  $Y$ . Moreover  $Z_n = \hat{f}(D_n) \cup f(D_n)$  is a Peano continuum (since  $S^2$  is a Peano continuum). Since  $Z_n$  is aspherical ([3])  $\hat{f}_{D_n}$  and  $f_{D_n}$  are homotopic in  $Z_n$  (via a homotopy  $f_n^t$  such that  $f_n^t|_{\partial D_n} = f_{\partial D_n}$ ).

Define  $\hat{f}_{X \setminus (\cup \text{int}(D_n))} = f_{X \setminus (\cup \text{int}(D_n))}$ . For large  $n$  the homotopy  $f_n^t$  has small image (since  $f$  and  $g$  are uniformly continuous and both  $D_n$  and  $Z_n$  are null sequences).

Thus the union of the homotopies  $f_n^t$  shows  $\hat{f}$  and  $f$  are homotopic.  $\square$

### 8.3. Chopping up simply connected sets into small disks with crosscuts.

Given a PL planar disk  $D$  with finitely marked points  $Y \subset \partial D$  we wish to partition  $D$  using crosscuts (with disjoint interiors) connecting distinct points of  $Y$ , and to obtain control of the diameter of the regions bounded by the crosscuts (Theorem 7). Combined with a standard construction from the theory of prime ends (Lemma 22), we see how to subdivide simply connected open sets  $U \subset S^2$  into a null sequence of topological disks whose boundaries contain points of  $\partial U$  (Theorem 8).

Define the planar set  $Y \subset R^2$  as ‘ $\varepsilon$  thin’ if (letting  $B(x, \varepsilon) \subset R^2$  denote the round open disk of radius  $\varepsilon$ ) for each  $x \in Y$ ,  $B(x, \varepsilon) \cap (R^2 \setminus Y) \neq \emptyset$ .

If  $D$  is a topological disk an arc  $\alpha \subset D$  is a **spanning arc** if  $\text{int}(\alpha) \subset \text{int}(D)$  and  $\partial\alpha \subset \partial D$ . Let  $S(v, \varepsilon)$  denote the round circle of radius  $\varepsilon$  centered at  $v$ .

**Lemma 19.** *Suppose  $\dots D_3 \subset D_2 \subset D_1 \subset R^2$  is a sequence of closed topological disks. Suppose  $\varepsilon > 0$ . Then there exists  $N$  so that if  $n \geq N$  and  $U$  is a component of  $D_n \setminus D_{n+1}$  then  $U$  is  $\varepsilon$  thin.*

*Proof.* Suppose otherwise to obtain a contradiction. There exists an increasing sequence  $n_k \rightarrow \infty$  and  $u_{n_k} \in U_{n_k}$  so that  $U_{n_k}$  is a component of  $D_{n_k} \setminus D_{n_k+1}$  and  $B(u_{n_k}, \varepsilon/2) \subset U_{n_k}$ . Let  $z$  be a subsequential limit of  $\{u_{n_k}\}$ . Then  $B(z, \frac{\varepsilon}{4}) \subset U_{n_k}$  for all sufficiently large  $k$ . Thus  $z \notin \cap_{n=1}^\infty D_n$  and  $z \in \cap_{n=1}^\infty D_n$  and we have a contradiction.  $\square$

If  $S \subset R^2$  is a simple closed curve and  $P \subset S$  is finite then  $P$  is ' $\varepsilon$ -dense' in  $S$  if for each pair of consecutive clockwise points  $x < y \in P$  each clockwise arc  $\alpha_{xy} \subset S$  connecting  $x$  to  $y$  satisfies  $\text{diam}(\alpha_{xy}) < \varepsilon$ .

The inequalities in Lemma 20 and Theorem 7 are not sharp.

**Lemma 20.** *If  $D \subset R^2$  is any  $\varepsilon$  thin topological disk, then there exist finitely many pairwise disjoint spanning arcs  $\alpha_1, \alpha_2, \dots$  such that if  $U$  is a component of  $D^2 \setminus (\cup \alpha_n)$ , then  $\text{diam}(U) < 12\varepsilon$ .*

*Proof.* Tile the plane by squares of sidelength  $5\varepsilon$ . Note there exist arbitrarily small perturbations  $E$  of  $D$  so that  $E$  is a PL disk, and so that if  $v \in T$  is a corner of the tile  $T$  then  $v \notin \partial E$ , and so that each component  $A \subset E \cap \overline{B(v, 2\varepsilon)}$  is a closed topological disk. Thus, wolog we may assume  $D$  also enjoys the aforementioned properties of  $E$ . Suppose  $v$  is the corner of a tile  $T$  and suppose  $v \in \text{int}(D)$ . Then, since  $D$  is  $\varepsilon$  thin, let the open arc  $\gamma$  be a nonempty component of  $S(v, \varepsilon) \setminus D$  and let  $B$  denote the component of  $\overline{B(v, \varepsilon)} \setminus D$  such that  $\gamma \subset B$ . Now manufacture a homeomorphism  $h : \overline{B(v, \varepsilon)} \rightarrow \overline{B(v, \varepsilon)}$  (fixing  $\partial \overline{B(v, \varepsilon)}$  pointwise) so that  $v \in h(B)$ . Applying this construction at the corner of each tile, ultimately we can obtain a  $2\varepsilon$  homeomorphism  $h : R^2 \rightarrow R^2$  so that  $h(D)$  is a PL disk and so that  $v \notin h(D)$  for all tile corners  $v$ , and so that  $\partial h(D)$  crosses each tile edge finitely many times and transversely.

Let  $E = h(D)$ . Recall the tiles  $T_1, T_2, \dots$  and note each component  $A \subset E \setminus (\cup_{n=1}^{\infty} \partial T_n)$  satisfies  $\text{diam}(A) < 5\sqrt{2}\varepsilon$ . We obtain spanning arcs  $\beta_1, \beta_2, \dots$ , taking the components of  $E \cap (\cup_{n=1}^{\infty} \partial T_n)$ . Now let  $\alpha_n = h^{-1}(\beta_n)$ . Let  $U$  be a component of  $D \setminus (\cup \beta_n)$  and note  $\text{diam}(h^{-1}U) < 5\sqrt{2}\varepsilon + 4\varepsilon < 12\varepsilon$ .  $\square$

**Lemma 21.** *Suppose the finite set  $P$  is  $\varepsilon$  dense in  $\partial D$  and  $Q \subset \partial D$  is finite. Then there exists a monotone map  $f : D \rightarrow D$  such that  $f(Q) \subset P$  and  $d(f(x), x) < \varepsilon$  for all  $x \in D$  and such that  $f$  maps  $\text{int}(D)$  homeomorphically onto  $\text{int}(D)$ .*

*Proof.* For each  $q \in Q$  let  $f(q) = p \in P$  so that  $p$  is the nearest clockwise neighbor to  $q$ . For each  $p \in P$  select an arc  $\gamma_p \subset \partial D$  so that  $p \cup f^{-1}(p) \subset \text{int}(\gamma_p)$  and so that  $\text{diam}(\gamma_p) < \varepsilon$ . We can also arrange that  $\gamma_p \cap \gamma_r = \emptyset$  if  $p \neq r$ . Thicken the arcs  $\{\gamma_p\}$  into a closed pairwise disjoint topological disks  $\{D_p\}$  such that  $\cup_{p \in P} D_p \subset D$ . Let  $f$  fix  $D \setminus (\cup D_p)$  pointwise. For each  $\gamma_p$  let  $\beta_p \subset \text{int}(\gamma_p)$  be closed arc such that  $p \cup f^{-1}(p) \subset \text{int}(\gamma_p)$ . Let  $f$  fix  $\partial D_i$  pointwise, let  $f$  map  $\beta_p$  to  $p$  and let  $f$  map  $D_p \setminus \beta_p$  homeomorphically onto  $D_p \setminus p$ .  $\square$

**Theorem 7.** *There exists  $M > 0$  so that if  $D \subset R^2$  is an  $\varepsilon$  thin topological disk and the finite set  $P \subset \partial D$  is  $\varepsilon$  dense then there exist spanning arcs  $\beta_1, \beta_2, \dots$  such that  $\text{int}(\beta_n) \cap \text{int}(\beta_m) = \emptyset$  and such that  $P = \cup(\partial \beta_n)$  and such that each component  $U \subset D^2 \setminus (\cup \beta_n)$  is an open Jordan disk and  $\text{diam}(U) < 14\varepsilon$ .*

*Proof.* Obtain disjoint closed spanning arcs  $\alpha_1, \alpha_2, \dots$  as in Lemma 20 so that each component  $U \subset D^2 \setminus (\cup \alpha_n)$  has diameter at most  $12\varepsilon$ .

Apply Lemma 21 to obtain a monotone map  $f : D \rightarrow D$  such that  $f(\cup \alpha_n) \subset P$  and  $d(f(x), x) < \varepsilon$  for all  $x \in D$  and such that  $f$  maps  $\text{int}(D)$  homeomorphically onto  $\text{int}(D)$ .

Let  $\beta_n = f(\alpha_n)$ . If  $U$  is a component of  $D \setminus (\cup \alpha_n)$  then  $\text{diam}(f(U)) < 12\varepsilon + 2\varepsilon$ . At this stage it is likely that  $P \setminus (\cup \partial \beta_n) \neq \emptyset$ . In this case we merely add more spanning arcs to the collection  $\beta_1, \beta_2, \dots$  connecting any remaining points of  $P$ .  $\square$

**Definition 3.** Suppose  $U \subset S^2$  is open and simply connected. Recall a **closed crosscut**  $\gamma$  is a closed nontrivial topological arc such that  $\gamma \subset \overline{U}$  and  $\text{int}(\gamma) \subset U$  and  $\partial\gamma \subset \partial U$ . By a loop of **concatenated crosscuts**  $\gamma_1, \gamma_2, \dots, \gamma_n$  we mean each  $\gamma_i$  is a closed crosscut of  $U$  and  $\text{int}(\gamma_i) \cap \text{int}(\gamma_j) = \emptyset$  if  $i \neq j$  and  $\cup \gamma_i$  is a simple closed curve.

Suppose  $V \subset R^2$  is open, bounded, and simply connected and  $\delta > 0$ . Let  $T_1^\delta, T_2^\delta, \dots$  be a tiling of  $R^2$  by squares of sidelength  $\delta$ . Let  $A_\delta \subset V$  be a maximal closed topological disk consisting of the union of finitely many closed tiles. For small  $\delta$  it is apparent that each point of  $\partial A$  can be connected to  $\partial V$  within  $V$  by a crosscut of diameter at most  $2\delta$  (since otherwise we could attach another tile to  $A$ ). Consequently we have the following Lemma which will be obvious to the reader familiar with prime ends.

**Lemma 22.** Suppose  $X \subset R^2$  is a nonseparating continuum and  $\alpha$  is a crosscut of  $R^2 \setminus X$  and  $V$  is the bounded component of  $R^2 \setminus (X \cup \alpha)$ . Suppose  $\varepsilon > 0$ . There exist finitely many crosscuts  $\beta_1, \beta_2, \dots$  of  $V$  such that  $\alpha \cup (\cup \beta_i)$  is a simple closed curve and  $\text{diam}(\beta_i) < \varepsilon$ .

Given a cellular continuum  $X \subset R^2$  and applying Lemma 22 recursively (applied to  $\varepsilon_n = \frac{1}{2^n}$ ) we can manufacture a sequence of closed topological disks  $\dots D_3 \subset D_2 \subset D_1 \subset R^2$  such that 1)  $X = \cap_{n=1}^\infty D_n$  and 2) each component  $V \subset D_n \setminus D_{n+1}$  is an open planar set such that  $\partial V$  is a loop of finitely many crosscuts (of  $R^2 \setminus X$ )  $\gamma_1, \gamma_2, \dots$  such that  $\gamma_1 \subset \partial D_n$ , and  $\text{diam}(\gamma_1) < \varepsilon_n$ , and  $\gamma_2 \cup \gamma_3 \dots \subset \partial D_{n+1}$ , and  $\text{diam}(\gamma_i) < \varepsilon_{n+1}$  if  $i \geq 2$ .

By Lemma 19, given the disks  $D_1, D_2, \dots$  and  $\varepsilon > 0$  notice there exists  $N$  so that if  $n \geq N$  then  $D_n \setminus D_{n+1}$  has  $\varepsilon$  thin (components).

Moreover by construction for each component  $V \subset D_n \setminus D_{n+1}$ ,  $\partial V$  is decorated by an  $\varepsilon_n$  dense finite subset (the endpoints of the crosscuts thus far selected) and hence we may apply Theorem 7 to  $V$  to obtain the following Theorem.

**Theorem 8.** Suppose  $X \subset R^2$  is a nonseparating continuum. Then there exists a null sequence of crosscuts  $\{\beta_n\}$  such that  $\text{int}(\beta_n) \subset R^2 \setminus X$  and  $\partial\beta_n \subset X$  and such that  $\text{int}(\beta_n) \cap \text{int}(\beta_m) = \emptyset$  if  $m \neq n$  and such that the components  $\{U_n\}$  of  $R^2 \setminus (X \cup (\cup \beta_n))$  form a null sequence of open sets (with simple closed curve boundaries), and for each  $U_n$ , the simple closed curve  $\partial U_n$  is the finite union of concatenated arcs  $\beta_n$ .

## 9. MAIN RESULTS

**Theorem 9.** Suppose  $X \subset R^2$  is compact and  $U = R^2 \setminus X$  is connected. Suppose  $X$  has at least two components. Then there exists a sequence of arcs  $\alpha_1, \alpha_2, \dots$  such that  $l(\alpha_i) \rightarrow 0$ , and  $Z = X \cup (\cup_{i=1}^\infty \alpha_i)$  is cellular, and  $\text{int}(\alpha_i) \subset U$ , and  $\alpha_i$  connects distinct components of  $X$ , and  $\text{int}(\alpha_i) \cap \text{int}(\alpha_j) = \emptyset$  if  $i \neq j$ .

*Proof.* Let  $\delta_n = \frac{1}{10^n}$ .

Apply Theorem 1 to obtain a sequence of closed sets  $S_n \subset R^2$  such that  $S_n$  is the union of finitely many pairwise disjoint closed PL topological disks, such that  $S_{n+1} \subset \text{int}(S_n)$ , such that  $X = \cap_{n=1}^\infty S_n$  and such that  $N(S_n, S_{n+1}) < \delta_n$  and such that  $\lim_{n \rightarrow \infty} M(S_n, S_{n+1}) = 0$ . We require that  $S_1$  is a connected PL disk.

Name a sequence  $\{\varepsilon_n\}$  such that  $\varepsilon_n > 2M(S_n, S_{n+1}) + 2\delta_n$  and such that  $\varepsilon_n \rightarrow 0$ .

Let  $Y_1 = \emptyset$  and proceed recursively as follows.

Suppose  $Y_n = \{y_n^1, \dots, y_n^{k_n}\} \subset \partial S_n$  is finite. Apply Theorem 3 to obtain pairwise disjoint PL closed arcs  $\{\gamma_n^i\} \subset S_n$  such that  $\text{int}(\gamma_n^i) \subset \text{int}(S_n) \setminus S_{n+1}$  and  $\gamma_n^i$  connects  $y_n^i$  to  $S_{n+1}$  and  $l(\gamma_n^i) < \delta_n$ .

Recall each component  $P_i^n \subset S_n$  is a PL disk, and the subspace  $S_{n+1} \cup_{i,j} \{\gamma_j^i\} \subset S_n$  is the union of pairwise disjoint PL cellular sets.

Now apply Theorem 4 to the data at hand as follows.

Apply the algorithm in section 7 to the data  $(S_n, S_{n+1} \cup_{i,j} \{\gamma_j^i\})$  creating a finite sequence of arcs  $\{\alpha_n^i\} \subset S_n$  with the following properties:  $l(\alpha_n^i) \leq 2M(S_n, S_{n+1})$ , and  $\alpha_n^i \cap \alpha_n^j$  is connected, and if  $i \neq j$  then  $\alpha_n^i \cap \alpha_n^j$  does not disconnect  $\alpha_n^i$ , and  $\alpha_n^i$  connects distinct components of  $S_{n+1} \cup_{i,j} \{\gamma_j^k\}$ .

Next apply the constructions in section 7.2, replacing the arcs  $\alpha_n^i$  with pairwise disjoint arcs  $\beta_n^i \subset S_n$  (replacing the notation  $\alpha_n^{**i}$ ) with all of the following properties:

$l(\beta_n^i) < 2M(S_n, S_{n+1}) + 2\delta_n$ ,  $\beta_n^i \cap \gamma_n^j = \emptyset$ , and  $\beta_n^i$  connects the same two distinct components of  $S_{n+1} \cup_{i,j} \{\gamma_j^k\}$  (as  $\alpha_n^i$ ), and  $\text{int}(\beta_n^i) \subset \text{int}(S_n)$ , and each component of  $S_{n+1} \cup (\cup_i \beta_n^i)$  is cellular.

Now let  $Y_{n+1} = \partial S_{n+1} \cap ((\cup_i \beta_n^i) \cup (\cup_i \gamma_n^i))$  and repeat the construction.

(It is allowed at a given stage  $n$ , that  $\cup_i \beta_n^i = \emptyset$ , (in the event that  $S_n$  and  $S_{n+1}$  have the same number of components, and in fact this behavior is inevitable if  $X$  has finitely many components)).

To understand the components of  $Z \setminus X$ , by construction at each stage  $n$ , new arcs  $\{\beta_n^i\}$  are created such that  $l(\beta_n^i) < \varepsilon_n$ . In subsequent stages a given end of  $\beta_n^i$  will be lengthened by attaching concatenated arcs  $\gamma_{n+1}^{(n,i)} \cup \gamma_{n+2}^{(n,i)} \dots$  (and on the other end of  $\beta_n^i$  we have concatenated arcs  $\gamma_{n+1}^{*(n,i)} \cup \gamma_{n+2}^{*(n,i)} \dots$ ).

Thus,  $\beta_n^i \cup \gamma_{n+1}^{(n,i)} \cup \gamma_{n+2}^{(n,i)} \dots \cup (\gamma_{n+1}^{*(n,i)} \cup \gamma_{n+2}^{*(n,i)} \dots)$  is an open arc with Euclidean pathlength less than  $2\varepsilon_n + \sum_{k=n}^{\infty} \frac{2}{10^k} < 2\varepsilon_n + \frac{1}{2^n}$ .

Define  $\kappa_n^i = \beta_n^i \cup \gamma_{n+1}^{(n,i)} \cup \gamma_{n+2}^{(n,i)} \dots \cup (\gamma_{n+1}^{*(n,i)} \cup \gamma_{n+2}^{*(n,i)} \dots)$ . Note the ends of the open arc  $\beta_n^i \cup \gamma_{n+1}^{(n,i)} \cup \gamma_{n+2}^{(n,i)} \dots \cup (\gamma_{n+1}^{*(n,i)} \cup \gamma_{n+2}^{*(n,i)} \dots)$  converge since this open arc has finite geometric length.

Moreover, since the extended ends of  $\beta_n^i$  will be forever trapped in distinct components of  $S_n$ ,  $\kappa_n^i$  is a closed arc, (as opposed to a simple closed curve).

Define  $Z = X \cup (\cup_{n,i} \kappa_n^i)$ .

Recall  $\gamma_n^j \subset S_n$ , and  $M(S_n, S_{n+1}) \rightarrow 0$  and  $X = \cap S_n$ . Thus  $\partial \kappa_n^i \subset X$ .

By construction,  $Z$  is the nested intersection of the cellular sets  $S_{n+1} \cup ((\cup_{k \leq n} \beta_k^i) \cup (\cup_{k \leq n} \gamma_k^i))$ . Consequently  $Z$  is cellular.

By construction  $\text{int}(\kappa_n^i) \cap \text{int}(\kappa_m^j) = \emptyset$  (if  $n \neq m$  or  $i \neq j$ ).

Reindex the arcs doubly indexed sequence  $\{\kappa_n^i\}$  as  $\alpha_1, \alpha_2, \dots$  to obtain the desired arcs.  $\square$

If  $X \subset S^2$  is compact then  $S^2 \setminus X$  has at most countably many components  $U_1, U_2, \dots$ , and we apply Theorem 9 to each component  $U_n \subset S^2 \setminus X$  to obtain the following result applicable to all planar compacta.

**Corollary 1.** *Suppose  $X \subset R^2$  is compact. Then there exists a sequence of closed arcs  $\alpha_1, \alpha_2, \dots$  such that  $\text{int}(\alpha_i) \subset R^2 \setminus X$ , and  $\partial \alpha_i \subset X$  and  $\lim_{i \rightarrow \infty} l(\alpha_i) = 0$ , and  $\text{int}(\alpha_i) \cap \text{int}(\alpha_j) = \emptyset$  if  $i \neq j$ , and if  $Y = X \cup (\cup \alpha_i)$  and if  $U$  is a component of  $R^2 \cup \{\infty\} \setminus Y$  then  $U$  is simply connected, and each component of  $R^2 \setminus X$  contains precisely one component of  $R^2 \setminus Y$ .*

**Theorem 10.** *Suppose  $X \subset R^2$  is a Peano continuum and  $Y \subset R^2$  is any set. Suppose  $p \in X$  and  $f, g : X \rightarrow Y$  are maps such  $f(p) = g(p)$ . Then  $f$  and  $g$  are homotopic if and only if  $f_* = g_*$  (and  $f_* : \pi_1(X, p) \rightarrow \pi_1(Y, f(p))$  denotes the induced homomorphism between fundamental groups.)*

*Proof.* If  $f \sim g$  it is immediate that  $f_* = g_*$ . Conversely suppose  $f_* = g_*$ . Our goal is to prove that  $f$  and  $g$  are homotopic, and throughout the proof we will replace  $f$  by a homotopic map  $\hat{f}$  with nicer properties, and for convenience we will then rename  $f = \hat{f}$ .

We reduce to the assumption that  $X$  is standard as follows.

Apply Lemma 9 to obtain a standard Peano continuum  $Z$  and a retraction  $r : Z \rightarrow X$  such that  $r$  is homotopic to  $id_Z$ . Moreover  $(fr)_* = (gf)_*$  and we hope to prove  $fr$  is homotopic to  $gr$ . If we find such a homotopy from  $Z$  then we can restrict to  $X$  to obtain a homotopy from  $f$  to  $g$ . Thus, renaming  $Z$  as  $X$ , we have reduced to the special case that  $X$  is a standard Peano continuum.

Suppose  $X \subset R^2$  is a standard Peano continuum. Let  $U \subset R^2 \setminus X$  denote the union of those bounded components  $\{U_n\} \subset R^2 \setminus X$  such that  $f_{\partial U_n}$  is inessential in  $Y$ . Let  $Z = X \cup U$ . By Lemma 15  $Z$  is a standard Peano continuum and there exists a map  $F : Z \rightarrow Y$  such that  $F_X = f$  (in particular  $F_{C_n}$  is essential for all isolated circles  $C_n \subset Fr(Z)$ )

In similar fashion we can construct a map  $G : Z \rightarrow Y$  such that  $G_X = g$ .

By Lemma 16  $F_* = G_*$  and if we can prove  $F$  is homotopic to  $G$  then, restricting the homotopy to  $X$ , we will have a homotopy from  $f$  to  $g$ .

Thus, once again renaming  $Z = X$ , we have reduced the problem to the further specialized assumption that  $f(C)$  is essential in  $Y$  for all isolated boundary circles  $C \subset Fr(X)$  (and  $X \subset R^2$  is a standard Peano continuum).

Let  $C_1, C_2, \dots$  denote the isolated circles of  $Fr(X)$  and for each  $n$  select a basepoint  $x_n \in C_n$ .

Let  $y_n = g(x_n)$ . By uniform continuity  $diam(g(C_k)) \rightarrow 0$  and  $diam(f(C_k)) \rightarrow 0$ . Since  $f_* = g_*$  we know the unbased loops  $f_{C_k}$  and  $g_{C_k}$  are essential and freely homotopic in  $Y$ .

Thus it follows from Lemma 11 that  $d(x_n, y_n) \rightarrow 0$ . Now apply Theorem 6 to obtain a map  $\hat{f} : X \rightarrow Y$  such that  $\hat{f}$  is homotopic to  $f$  and such that  $\hat{f}(x_n) = g(x_n)$ .

Thus, wolog we may rename  $f = \hat{f}$  and we assume henceforth that  $f(x_n) = g(x_n)$ .

Now apply Lemma 14 to obtain a map  $\hat{f} : X \rightarrow Y$  such that  $\hat{f}_{Fr(X)} = g_{Fr(X)}$  and such that  $f$  and  $\hat{f}$  are homotopic.

Once again, we may rename  $\hat{f} = f$  and henceforth assume  $f_{Fr(X)} = g_{Fr(X)}$ .

Apply Corollary 1 to obtain a null sequence of arcs  $\beta_1, \beta_2, \dots$  such that  $int(\beta_n) \subset int(X)$  and  $\partial\beta_n \subset Fr(X)$ , and  $int(\beta_n) \cap int(\beta_m) = \emptyset$  if  $n \neq m$ , and so that the components of  $int(X) \setminus (\beta_1 \cup \beta_2 \dots)$  are simply connected open planar sets and so that the endpoints of  $\beta_n$  belong to distinct components of  $Fr(X)$ .

Let  $Z = Fr(X) \cup \beta_1 \cup \beta_2 \dots$

For each bounded component  $U_n \subset R^2 \setminus Z$ , apply Theorem 8 to obtain a null sequence of crosscuts  $\alpha_1^n, \alpha_2^n, \dots$  so that  $int(\alpha_k^n) \subset U_n$  and  $\partial\alpha_k^n \subset \partial U_n$  and such that  $int(\alpha_k^n) \cap int(\alpha_m^n) = \emptyset$  if  $k \neq m$  and such that the components  $\{V_n\}$  of  $\overline{U_n} \setminus (\alpha_1^n, \alpha_2^n, \dots)$  form a null sequence of open sets (with simple closed curve boundaries), and for each  $B_n$ , the simple closed curve  $\partial V_n$  is the finite union of concatenated arcs  $\alpha_n^k$ .



Now let  $\alpha_1, \alpha_2, \dots$  denote the arcs  $\cup_m \{\beta_m\} \cup_{n,k} (\alpha_k^n)$ . By construction  $\partial\alpha_n \subset Fr(X)$  and  $int(\alpha_n) \cap int(\alpha_m) = \emptyset$  if  $m \neq n$  and  $\{\alpha_n\}$  is a null sequence of arcs. Let  $Z = X \cup \alpha_1 \cup \alpha_2 \dots$ .

Apply Lemma 18 to obtain a map  $\hat{f} : X \rightarrow Y$  such that  $\hat{f}$  is homotopic to  $f$  and  $\hat{f}_Z = g_Z$ . As before rename  $\hat{f} = f$ .

It follows now from Lemma 17 that  $f$  and  $g$  are homotopic.  $\square$

Consequently we obtain the following version of Whitehead's Theorem for planar Peano continua.

**Corollary 2.** *If  $X, Y \subset R^2$  are Peano continua, then a map  $f : X \rightarrow Y$  is a homotopy equivalence if there exists  $p \in X$  and  $q \in Y$  such that  $f_* : \pi_1(X, p) \rightarrow \pi_1(Y, q)$  is an isomorphism and such that  $f_*^{-1} : \pi_1(Y, q) \rightarrow \pi_1(X, p)$  is induced by a map.*

*Proof.* Let  $g : (Y, q) \rightarrow (X, p)$  be such that  $(fg)_* = f_*g_* = id_{\pi_1(Y, q)}$  and  $(gf)_* = g_*f_* = id_{\pi_1(X, p)}$ . Then by Theorem 10  $gf$  and  $fg$  are homotopic to the respective identities. Hence  $f$  is a homotopy equivalence.  $\square$

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